



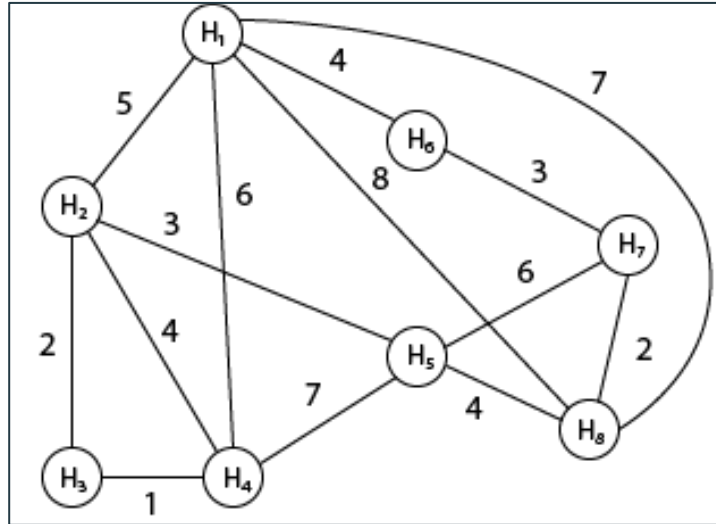
# Approximation Algorithms for TSP, Vertex Cover and Set Cover

# Approximation Algorithm

**Definition:** Let  $P$  be a minimization problem, and  $I$  be an instance of  $P$ . Let  $A$  be an algorithm that finds feasible solution to instances of  $P$ . Let  $A(I)$  is the cost of the solution returned by  $A$  for instance  $I$ , and  $OPT(I)$  is the cost of the optimal solution (minimum) for  $I$ . Then,  $A$  is said to be an  $\alpha$ -approximation algorithm for  $P$  if

$$\forall I, \quad \frac{A(I)}{OPT(I)} \leq \alpha \text{ where } \alpha \geq 1.$$

# Traveling Salesman Problem



Source: <https://www.javatpoint.com/travelling-sales-person-problem>

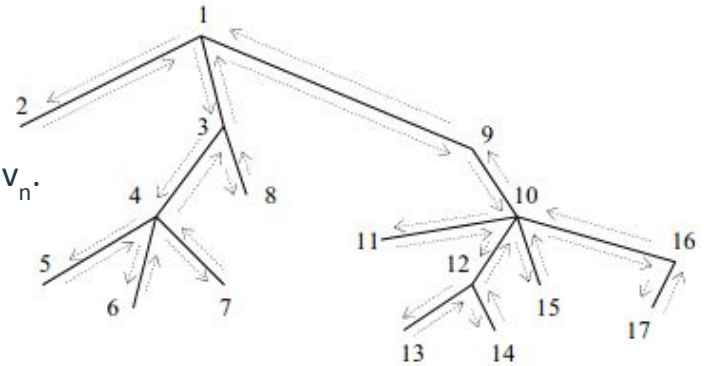
**Theorem:** For any constant  $k$ , it is NP-hard to approximate TSP to a factor of  $k$ .

**Proof:**

- Hamiltonian Cycle is NP-Complete
- If we could approximate TSP we could find a hamiltonian cycle in polynomial time
- $P \neq NP$

### Approximation Algorithm for Metric TSP

1. Compute a weighted MST of  $G$ .
2. Root MST arbitrarily and traverse in pre-order  $v_1, v_2, \dots, v_n$ .
3. Output tour  $v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_n \rightarrow v_1$ .



**Claim: A is a 2-approximation algorithm for (metric) TSP**

**Proof:**

If  $\sigma$  is a full walk along the MST in pre-order,  $\sigma^*$  an optimum tour and T a spanning tree

$$A(I) \leq \text{cost}(\sigma) = 2 * \text{MST}(I) \quad (1)$$

$$\text{OPT}(I) = \text{cost}(\sigma^*) \geq \text{cost}(T) \geq \text{MST}(I) \quad (2)$$

$$(1), (2) \Rightarrow A(I) \leq 2 * \text{MST}(I) \leq 2 * \text{OPT}(I)$$

# Christofides' Algorithm

1. Find a minimum spanning tree  $T$
2. Find a minimum-weight perfect matching  $M$  for the odd-degree vertices in  $T$
3. Add  $M$  to  $T$
4. Find an Euler tour
5. Cut short

**Claim: A is a 1.5-approximation algorithm for (metric) TSP**

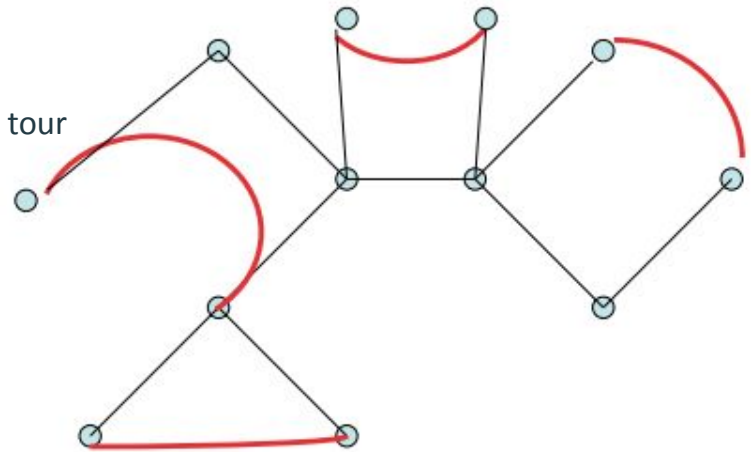
**Proof:**

If  $\sigma$  is a full walk along the MST in pre-order and  $\sigma^*$  an optimum tour

$$\text{OPT}(I) = \text{cost}(\sigma^*) \geq \text{cost}(T) \geq \text{MST}(I) \quad (1)$$

$$\text{cost}(M) \leq 0.5 \text{ OPT}(I) \quad (2)$$

$$(1), (2) \Rightarrow \text{cost}(C) \leq \text{cost}(T) + \text{cost}(M) \leq 1.5 * \text{OPT}(I)$$



# Set Cover

## Problem:

Given a universe  $U$  of elements  $\{1, \dots, n\}$ , and  
a collection of subsets  $S = \{S_1, \dots, S_m\}$  of subsets of  $U$ ,  
together with cost a cost function  $c(S_i) > 0$ ,  
find a minimum cost subset of  $S$ , that covers all elements in  $U$ .

```
 $C \leftarrow \emptyset$   
Result  $\leftarrow \emptyset$   
while  $C \neq U$   
     $S \leftarrow \arg \min_{S \in \mathcal{S}} \frac{c(S)}{|S \setminus C|}$   
     $\forall e \in (S \setminus C) : \text{price-per-item}(e) \leftarrow \frac{c(S)}{|S \setminus C|}$   
     $C \leftarrow C \cup S$   
    Result  $\leftarrow$  Result  $\cup \{S\}$   
end  
return Result
```



**Theorem:** The algorithm gives us a  $[ln n + O(1)]$ -approximation for set cover.

**Proof:** Let  $e_1, \dots, e_n$  be the elements in the order they are covered by the algorithm. At iteration  $k$ , there must be a set from  $S$  that is contained in the optimal solution and covers some of the remaining elements  $U \setminus C$  including  $e_k$  at cost at most  $OPT$ . Thus we have

$$\text{price-per-item}(e_k) \leq \frac{OPT}{|U \setminus C|} = \frac{OPT}{n - k + 1}$$

and using this, we can upper bound the total cost of the greedy solution by

$$\text{Total Cost} = \sum_{k \in [1, n]} \text{price-per-item}(e_k) \leq \sum_{k \in [1, n]} \frac{OPT}{n - k + 1} = \sum_{k \in [1, n]} \frac{OPT}{k} = OPT \cdot H_n \approx OPT \cdot (\ln n + 0.6)$$

**Reminder (Harmonic Number):**

$$H_n = \sum_{k \in [1, n]} \frac{1}{k}$$

$$\lim_{n \rightarrow \infty} (H_n - \ln n) = \gamma$$

$$\gamma \approx 0.5772156649$$

Euler–Mascheroni constant.

# Vertex Cover

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**Algorithm 1:** APPROX-VERTEX-COVER( $G$ )

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1  $C \leftarrow \emptyset$

2 while  $E \neq \emptyset$

    pick any  $\{u, v\} \in E$

$C \leftarrow C \cup \{u, v\}$

    delete all edges incident to either  $u$  or  $v$

return  $C$

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Note: Algorithm A finds a maximal matching  $M$

**Claim 1:** This algorithm gives a vertex cover

**Proof:** Every edge  $\in M$  is clearly covered. If an edge,  $e \notin M$  is not covered, then  $M \cup \{e\}$  is a matching, which contradict to maximality of  $M$ .

**Claim 2:** This vertex cover has size  $\leq 2 \times$  minimum size (optimal solution)

**Proof:** The optimum vertex cover must cover every edge in  $M$ . So, it must include at least one of the endpoints of each edge  $\in M$ , where no 2 edges in  $M$  share an endpoint. Hence, optimum vertex cover must have size

$$\text{OPT}(I) \geq |M|$$

But the algorithm  $A$  return a vertex cover of size  $2|M|$ , so  $\forall I$  we have

$$A(I) = 2|M| \leq 2 \times \text{OPT}(I)$$

implying that  $A$  is a 2-approximation algorithm.

# Linear Programming

1. Linear Function:

$$f(x_1, x_2) = c_1 x_1 + c_2 x_2$$

2. Constraints:

$$a_{11}x_1 + a_{12}x_2 \leq b_1$$

$$a_{21}x_1 + a_{22}x_2 \leq b_2$$

$$a_{31}x_1 + a_{32}x_2 \leq b_3$$

3. Non-negative variables:

$$x_1 \geq 0$$

$$x_2 \geq 0$$

**Integer Programming:**

$$x_i \in \mathbb{Z}$$

# Example: Linear Programming in 2D

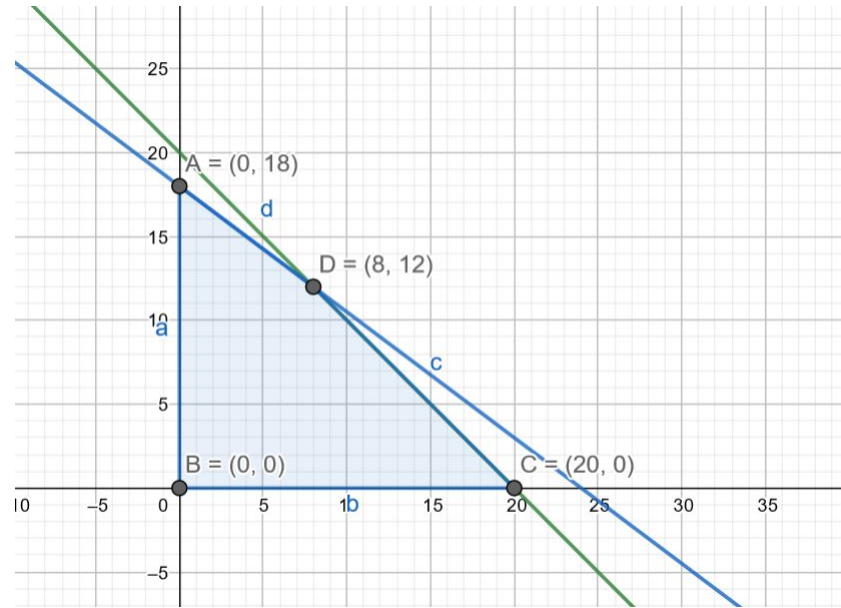
1.  $\max f(x_1, x_2) = 4x + 5y$
2.  $x + y \leq 20$   
 $3x + 4y \leq 72$
3.  $x \geq 0$   
 $y \geq 0$

$$f(0, 18) = 90$$

$$f(0, 0) = 0$$

$$f(20, 0) = 100$$

$$f(8, 12) = 92$$



# Weighted Vertex Cover

**Input:** An undirected graph  $G = (V, E)$  with vertex weights  $w_i \geq 0$ .

**Problem:** Find a minimum-weight subset of nodes  $S$  such that every  $e \in E$  is incident to at least one vertex in  $S$ .

# IP Formulation

$$\begin{array}{ll} \text{minimize} & \sum_{i \in V} w_i x_i \\ \text{subject to} & x_i + x_j \geq 1 \quad \forall (i, j) \in E \\ & x_i \in \{0, 1\} \quad \forall i \in V \end{array}$$

# LP Relaxation

$$\begin{array}{ll} \text{minimize} & \sum_{i \in V} w_i x_i \\ \text{subject to} & x_i + x_j \geq 1 \quad \forall (i, j) \in E \\ & x_i \geq 1 \quad \forall i \in V \end{array}$$

LP can be solved in polynomial time, while IP is NP-hard.



## LP- Rounding Algorithm:

1. Let  $x^*$  be an optimal solution.
  - Define  $x_v = 1$ , if  $x_v^* \geq 1/2$
  - Define  $x_u = 0$ , if  $x_u^* < 1/2$
2. Let  $S = \{i \in V : x_i = 1\}$

The set  $S$  is a valid vertex cover, because for each edge  $(u, v)$  it is true that  $x_u^* + x_v^* \geq 1$ , and so at least one of  $x_u^*$  or  $x_v^*$  must be at least  $1/2$ , and so at least one of  $u$  or  $v$  belongs to  $S$

**Claim:** A is a 2-approximation algorithm

**Proof:**  $\sum_{v \in S} w(v)$

$$= \sum_{v \in V} w(v) x_v$$

$$\leq \sum_{v \in V} w(v) \cdot 2 \cdot x_v^*$$

$$= 2 \cdot \text{OPT}(\text{LP})$$

$\leq 2 \cdot \text{OPT}(\text{VC})$ , every solution to the IP is also a solution to the LP, hence

$$\text{OPT}_{\text{LP}} \leq \text{OPT}_{\text{IP}}$$

# Dual LP

<u>Primal LP</u>	<u>Dual LP</u>
minimize $\sum_{i \in V} w_i x_i$	maximize $\sum_{e \in E} y_e$
subject to $x_i + x_j \geq 1 \quad \forall \text{ edge } (i, j) \in E$	subject to $\sum_{e: e \text{ hits } v} y_e \leq w_v \quad \forall v \in V$
$x_i \geq 1 \quad \forall \text{ vertex } i \in V$	$y_e \geq 0 \quad \forall e \in E$

$$\text{Dual}_{\text{Feasible}} \leq \text{Dual}_{\text{OPT}} = \text{Primal}_{\text{OPT}} \leq \text{Primal}_{\text{Feasible}}$$

# Bar-Yehuda and Even Algorithm

- Initially all edges are uncovered.
- While  $\exists$  an uncovered edge in  $G$ :
  - Choose an arbitrary edge,  $e$ .
  - Raise the value of  $y_e$  for that edge until one of its incident vertices,  $v$ , becomes full (i.e.  $\sum_{e:e \text{ hits } v} y_e = w_v$ )
  - $S \leftarrow S \cup \{v\}$
  - Any edge that touches  $v$  is considered to be covered
- Return  $S$  as our vertex cover

**Claim 1:** The set  $S$  returned is a vertex cover.

**Reason:** At termination, for each edge  $e = (u, v)$ , at least one of  $u$  and  $v$  is tight  $\Rightarrow$  at least one of  $u$  and  $v$  is in  $S$ .

**Claim 2:** The Pricing Method is a 2-approximation algorithm for MWVC.

Proof: Let  $S^*$  be an optimum vertex cover. Then  $w(S) \leq 2w(S^*)$ .

$$\begin{aligned}w(S) &= \sum_{i \in S} w_i \\&= \sum_{i \in S} \sum_{e=(i,j)} y_e \\&\leq \sum_{i \in V} \sum_{e=(i,j)} y_e \\&= 2 \sum_{e \in E} y_e \\&\leq 2 w(S^*)\end{aligned}$$