

# Parameterized Complexity

Computability, Algorithms & more

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1. Introduction
2. Formal Definitions
3. Techniques based on graph structure
4. Hierarchy
5. Optimisation , Approximation & Connections to FPT

# Introduction

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# Facing Untractability via Parameters

When he hit the wall of NP-completeness we try other methods

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## **Parameters**

We correlate a problem with a parameter (a computable function) and design algorithms on the notion that our instances have the given parameter bounded and in general terms small.

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# Examples

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- On Constraint problems ( such as SAT ) we often parameterize by the **number of constraints**
- For properties of graphs we often use **structural** parameters such as max degree , colour number and other easy or hard to compute properties (tree-width, clique-width, branch-width)

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In a town the Doorman of a bar must choose who he lets in so that there will be no feuds between them. We represent the people by vertices and the feuds by edges between them.

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### **Official Parameterized Version**

Input: A Graph  $G=(V,E)$  Parameter: A Positive Integer  $k$  Output: Does  $G$  have a VC of size  $k$ ?

Since this is an optimisation problem as we already mentioned we usually use as a parameter the size of the solution.

# Solving Vertex Cover

## Main Idea

The running time of an algorithm usually explodes when there is branching affected by the size. Make the branching bound by the parameter and we will have the requested time bound. .

Lets try an algorithm.

1. Begin with the root node labelled by zero. (Represents the an empty VC containing none of the  $V$  nodes)

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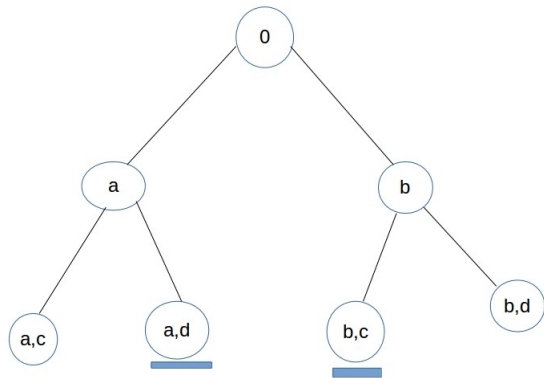
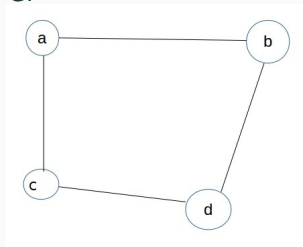
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3. update the graph by each time deleting the node's label vertices.
4. repeat  $k$  times



# Example

We will check a Graph for a VC of size 2

G:



What we manage this way :

- Resulting tree is of depth  $k$ .
- Each level  $i$  of the resulting tree  $T$  has nodes with exactly  $i$  vertices in the label.
- If there is a leaf that by deleting its label's vertices from  $G$  there is no edge left in  $G$  then this set of vertices is a VC of size  $k$ .

Is the above procedure correct? yes! For each edge we have to delete at least one end at some point. Since we explore both options if there is a VC of size  $k$  this algorithm will find it .

# Formal Definitions

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## Parameterized Problem

A *parameterization* of  $\Sigma^*$  is a *recursive* function  $k : \Sigma^* \rightarrow \mathbb{N}$ . A *parameterized problem* is a tuple  $(L, k)$ , where  $L \subseteq \Sigma^*$  and  $k$  is a parameterization of  $\Sigma^*$ .

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As always the classification of problems in classes refers to the best known algorithm or reduction for a parameterized problem

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One can design an algorithm that runs in the above time if he chooses the parameter cunningly.

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1. The parameter will be considered constant and small - We have to choose it in a way that is realistic .
  2. The instances that have the parameter satisfying the above should be as many as possible

## Definition

Given  $(L, k)$ ,  $(L', k')$  parameterized problems. We say that  $(L, k)$  reduces to  $(L', k')$  through an *FPT*-reduction (note  $L \leq_{FPT} L'$ ) iff there exists algorithm  $R$  such that:

1.  $\forall x \in \Sigma^*, x \in L \Leftrightarrow R(x) \in L'$
2.  $R$  is computable by an *FPT*-algorithm.
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Clique to Independent Set, CNF sat to Weighted Integer Programming are  $\leq_{FPT}$ .

Vertex Cover to Clique is not. (why?)

# Techniques based on graph structure

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## Other Metrics

There are many ways to parameterize a problem.

- you can parameterize by rank of a Matrix
- by the eccentricity of a vertex
- by the density of a graph

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Why? Because trees are nice.

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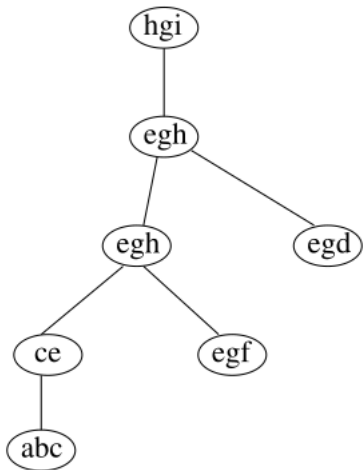
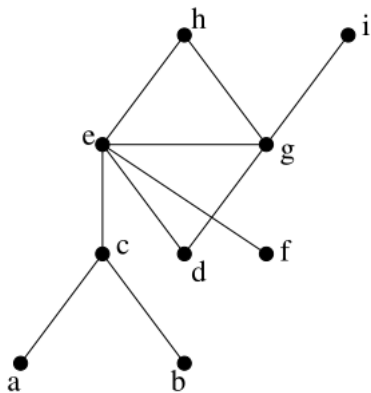
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3. The treewidth of Graph  $G$  is the minimum treewidth of all thee decompositions of  $G$ .

# Example



# Independent Set

Given a Graph  $G$  find the maximum Set  $L$  such that if  $u, v \in L$  then  $(u, v) \notin E$

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This Problem is NP-hard

**BUT!:** Many of the real-world problems that require us to check this property have bounded treewidth! So:

## The Algorithm

1. Given a Graph and a tree Decomposition of tw  $k$ . (we will use the one given in the previous example )
2. For each node of  $T$  we construct a vector with  $2^k$  positions as follows

$\emptyset$	a	b	c	ab	ac	bc	abc
0	1	1	1	2	-	-	-

We store in each position of the vector the size of the larger Independent set this far. That is the size of the set corresponding to the vectors bit plus the size of the previously larger Independent set for the vectors already filled.

Of course we are careful if the current bit of the vector has common vertices with the previous max independent set . But we only have to make this check for adjacent nodes of  $T$  . Continuing by adding up independent sets for empty leaf nodes we get the Max independent set.

We Can pause here and try it for the above tree decomposition. The proof of this algorithm can be found in the literature given later.

# Hierarchy

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## SHORT TURING MACHINE ACCEPTANCE

*Input:* A nondeterministic Turing machine  $M$  and a string  $x$

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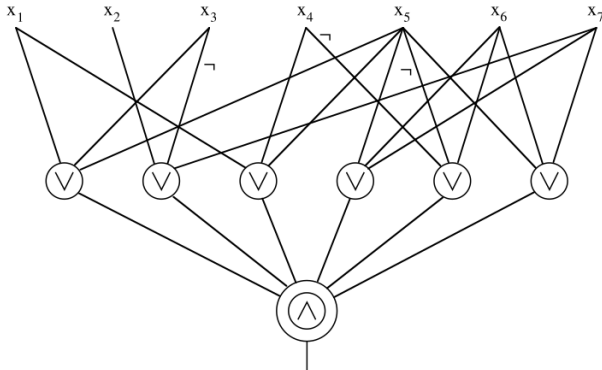
Can we build a hierarchy on this problem? **nope.**

- We need a naturally parameterized problem (the chosen parameter for Turing machine acceptance could be anything)
- Classical complexity: Cooks theorem (Turing machine  $\sim$  SAT).
- We will try to do the same.

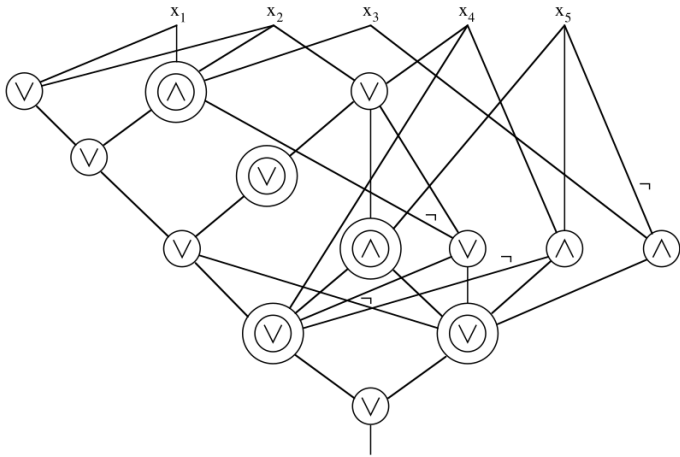
## Weft

Let  $C$  be a decision circuit. The weft of  $C$  is defined to be the maximum number of large gates on any path from the input variables to the output line. (A gate is called large if its fan-in exceeds some preassigned bound.)

Large gates (unbounded fanin) represented by  Small gates (bounded fanin) represented by 



A 3CNF Formula is a large and of small or's.



A Weft 2 Depth 5 Decision Circuit.

## WEIGHTED WEFT $t$ DEPTH $h$ CIRCUIT SATISFIABILITY ( $WCS(t, h)$ )

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### Remarks

- The  $i^{\text{th}}$  level of the hierarchy corresponds to problems reducible to weft  $i$  circuits
- Circuit depth is essentially irrelevant (but bounded).
- A large gate is considered one that is fanin is more than  $f(k)$

The following are complete for  $W[1]$ :

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Proof?

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Proof? **NOPE** → see chapter 21 of bibliography (1)

# Optimisation , Approximation & Connections to FPT

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DECISION PROBLEM ASSOCIATED WITH AN NP OPTIMIZATION PROBLEM

$Q = (I_Q, S_Q, f_Q, opt_Q)$ .

*Input:*  $x \in I_Q$ .

*Parameter:* A positive integer  $k$ .

*Question:* Does  $R(opt_Q(x), k)$  hold?

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This is not the actual formulation of the theorem

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*And we are going to prove this*

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  - if  $k < f(x)$  then  $k < opt(x)$  since this is a maximisation problem

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2. Since it has a fully PTAS there is an algorithm  $A$  that runs in time  $O(p((1/e) * |x|))$  and approximates it by an error of  $e$  .
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4. For an instance  $\langle x, k \rangle$  run  $A$  for  $\langle x, 1/2k \rangle$   
*What is the running time of this? Find  $e$  with respect to  $k$*
5.
  - if  $k < f(x)$  then  $k < opt(x)$  since this is a maximisation problem
  - if  $k > f(x)$  then  $k - 1 \geq f(x)$  , but  $e < 1/k \Rightarrow k > opt(x)$

- Therefore  $k < f(x)$  iff  $k < opt(x)$

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Given a FPT algorithm we cannot guarantee the existence of a fully polynomial time approximation Scheme .

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Unfortunately a converse does not exist.

Given a FPT algorithm we cannot guarantee the existence of a fully polynomial time approximation Scheme .

However this allows us to establish that many problems are FPT without effort. For instance:

- Bounded Knapsack
- Planar Independent Set
- Linear Extension Count

Are all FPT.



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Of course not.

### **Theorem: Bazgan , Cai and Chen**

If a NP-Optimisation Problem is Fixed Parameter Intractable then it has no fully polynomial time approximation Scheme.

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If a NP-Optimisation Problem is Fixed Parameter Intractable then it has no fully polynomial time approximation Scheme.

To be more specific under the hypothesis  $FPT \neq W[1]$  for parameterized problems There is not fully PTAS for any  $W[1]$  problems.

A known problem that is  $W[1]$  hard but not considered yet NP hard is the Matrix VC dimension. This means that although this problem is not proven to be NP-complete doesn't have a FPTAS





Questions?

## References & cool links



Fundamentals of Parameterized Complexity

Rodney G. Downey , Michael R. Fellows



Parameterized Complexity Wiki



Frontiers of Parameterized Complexity on Youtube

Seminar on Thursdays, at 17.00 Bergen time (GMT+2)

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