

# Dynamic Epistemic Logic

Graduate Course

ALMA / Corelab  
National Technical University of Athens

Spring semester 2021

- 1 Definability
- 2 Systems of modal logic
- 3 Soundness and completeness

Let  $\phi$  be a modal formula and  $\mathcal{F}$  a class of frames. We say that  $\phi$  **defines**  $\mathcal{F}$  if for all frames  $F$  we have that

$$F \in \mathcal{F} \text{ if and only if } F \models \phi$$

Each one of the following properties is defined by a modal formula

Property	Modal formula
① Reflexive: $\forall w (wRw)$	$T: \Box p \rightarrow p$
② Symmetric: $\forall w \forall v (wRv \rightarrow vRw)$	$B: p \rightarrow \Box \Diamond p$
③ Serial: $\forall w \exists v (wRv)$	$D: \Box p \rightarrow \Diamond p$
④ Transitive: $\forall w \forall v \forall u (wRv \wedge vRu \rightarrow wRu)$	4: $\Box p \rightarrow \Box \Box p$
⑤ Euclidean: $\forall w \forall v \forall u (wRv \wedge wRu \rightarrow vRu)$	5: $\Diamond p \rightarrow \Box \Diamond p$

Each one of the following properties is defined by a modal formula

Property	Modal formula
① Reflexive: $\forall w (wRw)$	$T: \Box p \rightarrow p$
② Symmetric: $\forall w \forall v (wRv \rightarrow vRw)$	$B: p \rightarrow \Box \Diamond p$
③ Serial: $\forall w \exists v (wRv)$	$D: \Box p \rightarrow \Diamond p$
④ Transitive: $\forall w \forall v \forall u (wRv \wedge vRu \rightarrow wRu)$	4: $\Box p \rightarrow \Box \Box p$
⑤ Euclidean: $\forall w \forall v \forall u (wRv \wedge wRu \rightarrow vRu)$	5: $\Diamond p \rightarrow \Box \Diamond p$
⑥ Partially functional: $\forall w \forall v \forall u (wRv \wedge wRu \rightarrow v = u)$	DC: $\Diamond p \rightarrow \Box p$

Each one of the following properties is defined by a modal formula

Property	Modal formula
1 Reflexive: $\forall w (wRw)$	$T: \Box p \rightarrow p$
2 Symmetric: $\forall w \forall v (wRv \rightarrow vRw)$	$B: p \rightarrow \Box \Diamond p$
3 Serial: $\forall w \exists v (wRv)$	$D: \Box p \rightarrow \Diamond p$
4 Transitive: $\forall w \forall v \forall u (wRv \wedge vRu \rightarrow wRu)$	4: $\Box p \rightarrow \Box \Box p$
5 Euclidean: $\forall w \forall v \forall u (wRv \wedge wRu \rightarrow vRu)$	5: $\Diamond p \rightarrow \Box \Diamond p$
6 Partially functional: $\forall w \forall v \forall u (wRv \wedge wRu \rightarrow v = u)$	$DC: \Diamond p \rightarrow \Box p$
7 Functional: $\forall w \exists! v (wRv)$	$D \ \& \ DC: \Diamond p \leftrightarrow \Box p$

Each one of the following properties is defined by a modal formula

Property	Modal formula
1 Reflexive: $\forall w (wRw)$	$T: \Box p \rightarrow p$
2 Symmetric: $\forall w \forall v (wRv \rightarrow vRw)$	$B: p \rightarrow \Box \Diamond p$
3 Serial: $\forall w \exists v (wRv)$	$D: \Box p \rightarrow \Diamond p$
4 Transitive: $\forall w \forall v \forall u (wRv \wedge vRu \rightarrow wRu)$	$4: \Box p \rightarrow \Box \Box p$
5 Euclidean: $\forall w \forall v \forall u (wRv \wedge wRu \rightarrow vRu)$	$5: \Diamond p \rightarrow \Box \Diamond p$
6 Partially functional: $\forall w \forall v \forall u (wRv \wedge wRu \rightarrow v = u)$	$DC: \Diamond p \rightarrow \Box p$
7 Functional: $\forall w \exists ! v (wRv)$	$D \ \& \ DC: \Diamond p \leftrightarrow \Box p$
8 Dense: $\forall w \forall v (wRv \rightarrow \exists u (wRu \wedge uRv))$	$4C: \Box \Box p \rightarrow \Box p$

# The axiomatic system K

## Axioms

- (P) all instances of propositional tautologies in the language  $\mathcal{L}_\Box$
- (K)  $\Box(\phi \rightarrow \psi) \rightarrow (\Box\phi \rightarrow \Box\psi)$

## Rules

- (MP) from  $\vdash \phi$  and  $\vdash \phi \rightarrow \psi$  infer  $\vdash \psi$
- (NC) from  $\vdash \phi$  infer  $\vdash \Box\phi$



# How to define a system of modal logic $\Sigma$ ?

System of modal logic A set of formulas  $\Sigma$  is a system of modal logic iff it contains all propositional tautologies (PL) and is closed under modus ponens (MP) and uniform substitution (US).

*Uniform substitution:* from  $\vdash \phi$ , infer  $\vdash \theta$ , where  $\theta$  is obtained from  $\phi$  by uniformly replacing proposition variables in  $\phi$  by arbitrary formulas.

We will usually just say '**logic**' or sometimes '**system**' instead of 'system of modal logic'.

The **theorems** of a logic are just the formulas in it.  
We write  $\vdash_{\Sigma} A$  to mean that  $A$  is a theorem of  $\Sigma$ .

# How to define a system of modal logic $\Sigma$ ?

Given a set of formulas  $\Gamma$  and a set of rules of inference  $R$ , define  $\Sigma$  to be the smallest system of modal logic containing  $\Gamma$  and closed under  $R$ .

Equivalently, given the definition of 'system of modal logic', the smallest set of formulas containing PL and  $\Gamma$ , and closed under  $R$ , modus ponens (MP), and uniform substitution (US).

$\Gamma$  and  $R$  are sometimes called '**axioms**' of  $\Sigma$ .

# More axioms...

$$T: \Box p \rightarrow p \quad \Longleftrightarrow \quad T_{\Diamond}: p \rightarrow \Diamond p$$

$$B: p \rightarrow \Box \Diamond p \quad \Longleftrightarrow \quad \Diamond \Box p \rightarrow p$$

$$D: \Box p \rightarrow \Diamond p$$

$$4: \Box p \rightarrow \Box \Box p$$

$$5: \neg \Box p \rightarrow \Box \neg \Box p \quad \Longleftrightarrow \quad \Diamond p \rightarrow \Box \Diamond p \quad \Longleftrightarrow \quad \Diamond \Box p \rightarrow \Box p$$

For example,

$$\Box p \rightarrow p \Longleftrightarrow$$

$$\neg p \rightarrow \neg \Box p \Longleftrightarrow$$

$$\neg p \rightarrow \Diamond \neg p \Longleftrightarrow$$

$$q \rightarrow \Diamond q$$

$$T: \Box p \rightarrow p \quad \Longleftrightarrow \quad T_{\Diamond}: p \rightarrow \Diamond p$$

$$B: p \rightarrow \Box \Diamond p \quad \Longleftrightarrow \quad \Diamond \Box p \rightarrow p$$

$$D: \Box p \rightarrow \Diamond p$$

$$4: \Box p \rightarrow \Box \Box p \quad \Longleftrightarrow \quad 4_{\Diamond}: \Diamond \Diamond p \rightarrow \Diamond p$$

$$5: \neg \Box p \rightarrow \Box \neg \Box p \quad \Longleftrightarrow \quad \Diamond p \rightarrow \Box \Diamond p \quad \Longleftrightarrow \quad \Diamond \Box p \rightarrow \Box p$$

## Systems of modal logic

- $K+T$  is called  $T$
- $K+B$  is called  $KB$
- $K+D$  is called  $KD$
- $K+4$  is called  $K4$

$$T: \Box p \rightarrow p \quad \Longleftrightarrow \quad T_{\Diamond}: p \rightarrow \Diamond p$$

$$B: p \rightarrow \Box \Diamond p \quad \Longleftrightarrow \quad \Diamond \Box p \rightarrow p$$

$$D: \Box p \rightarrow \Diamond p$$

$$4: \Box p \rightarrow \Box \Box p \quad \Longleftrightarrow \quad 4_{\Diamond}: \Diamond \Diamond p \rightarrow \Diamond p$$

$$5: \neg \Box p \rightarrow \Box \neg \Box p \quad \Longleftrightarrow \quad \Diamond p \rightarrow \Box \Diamond p \quad \Longleftrightarrow \quad \Diamond \Box p \rightarrow \Box p$$

## Systems of modal logic

- $K+T$  is called  $T$
- $K+B$  is called  $KB$
- $K+D$  is called  $KD$
- $K+4$  is called  $K4$
- $K+T+4$  is called  $S4$
- $K+T+4+5$  is called  $S5$
- $K+D+4+5$  is called  $KD45$

- Some relationships among these systems are trivial.

- Some relationships among these systems are trivial.

$$T \subseteq S4$$

$$\text{Reminder: } K + T \subseteq K + T + 4$$



- Some relationships among these systems are trivial.

$$T \subseteq S4$$

$$\text{Reminder: } K + T \subseteq K + T + 4$$

$$K4 \subseteq S4$$

- Some relationships among these systems are trivial.

$$T \subseteq S4$$

$$\text{Reminder: } K + T \subseteq K + T + 4$$

$$K4 \subseteq S4$$

$$S4 \subseteq S5$$

- Some relationships among these systems are trivial.

$$T \subseteq S4$$

$$\text{Reminder: } K + T \subseteq K + T + 4$$

$$K4 \subseteq S4$$

$$S4 \subseteq S5$$

$$K \subseteq \Sigma \text{ for every } \Sigma \in \{T, KB, KD, K4, S4, S5, KD45\}$$

- Some relationships among these systems are not so trivial.

- Some relationships among these systems are not so trivial.

$$KD \subseteq T$$

*Proof:* We prove that  $\vdash_T D$ .

1.  $\Box p \rightarrow p$
2.  $p \rightarrow \Diamond p$
3.  $\Box p \rightarrow \Diamond p$

Axiom  $T$

Axiom  $T_\Diamond$

1,2, Prop. reasoning, MP

# The axiomatic system S5

The following systems are equivalent:

$$S5 = KT5 = KT45 = KT4B = KDB4 = KDB5$$

# The axiomatic system S5

The following systems are equivalent:

$$S5 = KT5 = KT45 = KT4B = KDB4 = KDB5$$

*Proof sketch:*  $KT5 \subseteq KT45 \subseteq KT4B \subseteq KDB4 \subseteq KDB5 \subseteq KT5$

# The axiomatic system S5

The following systems are equivalent:

$$S5 = KT5 = KT45 = \mathbf{KT4B} = KDB4 = KDB5$$

*Proof sketch:*  $KT5 \subseteq KT45 \subseteq \mathbf{KT4B} \subseteq \mathbf{KDB4} \subseteq KDB5 \subseteq KT5$

For example,  $KT4B \subseteq KDB4$ , as  $\vdash_{KDB4} T$ :



# The axiomatic system S5

The following systems are equivalent:

$$S5 = KT5 = KT45 = \mathbf{KT4B} = KDB4 = KDB5$$

*Proof sketch:*  $KT5 \subseteq KT45 \subseteq \mathbf{KT4B} \subseteq \mathbf{KDB4} \subseteq KDB5 \subseteq KT5$

For example,  $KT4B \subseteq KDB4$ , as  $\vdash_{KDB4} T$ :

1.  $\Box p \rightarrow \Box \Box p$

Axiom 4

# The axiomatic system S5

The following systems are equivalent:

$$S5 = KT5 = KT45 = KT4B = KDB4 = KDB5$$

*Proof sketch:*  $KT5 \subseteq KT45 \subseteq \mathbf{KT4B} \subseteq \mathbf{KDB4} \subseteq KDB5 \subseteq KT5$

For example,  $KT4B \subseteq KDB4$ , as  $\vdash_{KDB4} T$ :

1.  $\Box p \rightarrow \Box \Box p$
2.  $\Box \Box p \rightarrow \Diamond \Box p$

Axiom 4

Axiom D, US

# The axiomatic system S5

The following systems are equivalent:

$$S5 = KT5 = KT45 = \mathbf{KT4B} = \mathbf{KDB4} = \mathbf{KDB5}$$

*Proof sketch:*  $KT5 \subseteq KT45 \subseteq \mathbf{KT4B} \subseteq \mathbf{KDB4} \subseteq \mathbf{KDB5} \subseteq KT5$

For example,  $\mathbf{KT4B} \subseteq \mathbf{KDB4}$ , as  $\vdash_{\mathbf{KDB4}} T$ :

1.  $\Box p \rightarrow \Box \Box p$
2.  $\Box \Box p \rightarrow \Diamond \Box p$
3.  $\Diamond \Box p \rightarrow p$

Axiom 4

Axiom D, US

Axiom B

# The axiomatic system S5

The following systems are equivalent:

$$S5 = KT5 = KT45 = KT4B = KDB4 = KDB5$$

*Proof sketch:*  $KT5 \subseteq KT45 \subseteq \mathbf{KT4B} \subseteq \mathbf{KDB4} \subseteq KDB5 \subseteq KT5$

For example,  $KT4B \subseteq KDB4$ , as  $\vdash_{KDB4} T$ :

1.  $\Box p \rightarrow \Box \Box p$  Axiom 4
2.  $\Box \Box p \rightarrow \Diamond \Box p$  Axiom D, US
3.  $\Diamond \Box p \rightarrow p$  Axiom B
4.  $\Box p \rightarrow p$  1,2,3, Prop. reasoning, MP

# Classes of Kripke structures (reminder)

We define the following classes of frames:

- 1  $\mathcal{K}$  = the class of all frames
- 2  $\mathcal{KD}$  = the class of **serial** frames
- 3  $\mathcal{T}$  = the class of **reflexive** frames
- 4  $\mathcal{K4}$  = the class of **transitive** frames
- 5  $\mathcal{KB}$  = the class of **symmetric** frames
- 6  $\mathcal{KD45}$  = the class of **serial**, **transitive** and **euclidean** frames
- 7  $\mathcal{S5}$  = the class of **reflexive**, **transitive** and **symmetric** frames = the class of frames where the accessibility relation is an **equivalence relation**

- Think of a frame as a model  $M = \langle S, R, V \rangle$  without the valuation  $V$ . A frame is the underlying graph of a model.
- We say that a formula  $\phi$  is valid with respect to a class of frames  $\mathcal{F}$ , symb.  $\mathcal{F} \models \phi$ , if  $\phi$  is valid on every frame  $F$  in  $\mathcal{F}$ .

### Definition of soundness

An axiomatic system  $\Sigma$  is **sound** with respect to a class  $\mathcal{F}$  of frames if every formula provable from  $\Sigma$  is valid with respect to  $\mathcal{F}$ .

### Definition of completeness

An axiomatic system  $\Sigma$  is **complete** with respect to a class  $\mathcal{F}$  of frames if every formula that is valid with respect to  $\mathcal{F}$  is provable from  $\Sigma$ .



We think of an axiom system as **characterizing a class of frames** exactly if it provides a sound and complete axiomatization of that class.

$K$  is a sound and complete axiomatization with respect to  $\mathcal{K}$  (the class of all frames).

$T$  is a sound and complete axiomatization with respect to  $\mathcal{T}$  (the class of reflexive frames).

$KB$  is a sound and complete axiomatization with respect to  $\mathcal{KB}$  (the class of symmetric frames).

$KD$  is a sound and complete axiomatization with respect to  $\mathcal{KD}$  (the class of serial frames).

K4 is a sound and complete axiomatization with respect to  $\mathcal{K}4$  (the class of transitive frames).

KD45 is a sound and complete axiomatization with respect to  $\mathcal{KD}45$  (the class of serial, transitive and euclidean frames).

S5 is a sound and complete axiomatization with respect to  $\mathcal{S}5$  (the class of reflexive, transitive and symmetric frames).

$K$  is a sound axiomatization with respect to  $\mathcal{K}$  (the class of all frames).

*Proof:* Every  $K$ -provable formula is valid in  $\mathcal{K}$ . Let  $F \in \mathcal{K}$ .

1. If  $\phi$  is a propositional tautology, then  $F \models \phi$ .
2. If  $\mathcal{K} \models \phi$  and  $\mathcal{K} \models \phi \rightarrow \psi$ , then for any frame  $F \in \mathcal{K}$  it holds that  $F \models \psi$ .
3.  $F \models \Box(\phi \rightarrow \psi) \rightarrow (\Box\phi \rightarrow \Box\psi)$ .
4. If  $\mathcal{K} \models \phi$ , then for any frame  $F \in \mathcal{K}$  it holds that  $F \models \Box\phi$ .

## Definition

1. A set of formulas  $\Sigma$  is **consistent** iff there is no  $\phi$  such that both  $\vdash_{\Sigma} \phi$  and  $\vdash_{\Sigma} \neg\phi$  hold.
2. A formula  $\psi$  is  $\Sigma$ -consistent iff  $\Sigma \cup \{\psi\}$  is consistent.

## Fact 1

A formula  $\psi$  is  $\Sigma$ -consistent iff  $\not\vdash_{\Sigma} \neg\psi$ .

# Useful proposition (reminder)

## Proposition

$\Sigma$  is complete with respect to a class of frames  $\mathcal{F}$  iff every  $\Sigma$ -consistent formula is satisfiable on some frame  $F \in \mathcal{F}$ .

*Proof:* 1. ( $\Leftarrow$ ) We argue by contraposition. Suppose  $\Sigma$  is not complete with respect to  $\mathcal{F}$ . Then there is a formula  $\phi$  such that  $\mathcal{F} \models \phi$  but  $\not\vdash_{\Sigma} \phi$ . The formula  $\neg\phi$  is  $\Sigma$ -consistent, but not satisfiable on any frame in  $\mathcal{F}$ .

( $\Rightarrow$ ) We argue by contraposition. Suppose there is a  $\Sigma$ -consistent formula  $\phi$  that is not satisfiable on any frame in  $\mathcal{F}$ . Then,  $\neg\phi$  is valid with respect to  $\mathcal{F}$ . But  $\not\vdash_{\Sigma} \neg\phi$ , since  $\phi$  is  $\Sigma$ -consistent. So  $\Sigma$  is not complete with respect to  $\mathcal{F}$ .

$K$  is a complete axiomatization with respect to  $\mathcal{K}$  (the class of all frames).

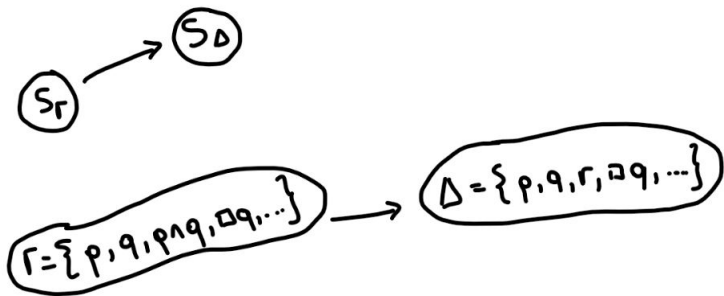
Every  $K$ -consistent formula is satisfiable on some frame  $F \in \mathcal{K}$ .

# The main idea

- 1 We are going to build a model  $\mathcal{M}^c$  such that every K-consistent formula is satisfiable on  $\mathcal{M}^c$ .
- 2 Each *state* of the model  $\mathcal{M}^c$  corresponds to a *maximal K-consistent set of formulas*. And conversely, every *maximal K-consistent set of formulas* corresponds to a *state* in  $\mathcal{M}^c$ . **There is a one-to-one correspondence between the set of states and the set of maximal K-consistent sets.**
- 3 A K-consistent formula  $\phi$  is satisfiable on every state that corresponds to a maximal K-consistent set containing  $\phi$ .
- 4 This model is called the **canonical** model.



# The canonical model



## Definition

A set  $\Gamma$  of formulas is a **maximal consistent** set if it is consistent and for every  $\phi \notin \Gamma$ , the set  $\Gamma \cup \{\phi\}$  is inconsistent.

## Deduction Theorem

If  $\Gamma \cup \{\phi\} \vdash \psi$ , then  $\Gamma \vdash \phi \rightarrow \psi$ .

The converse of the Deduction Theorem also holds and it is essentially an application of Modus Ponens.

## Lemma

Every K-consistent set  $\Gamma$  of formulas can be extended to a K-maximal consistent set  $\Gamma^+$ .

In addition, if  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- 1 for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,
- 2  $\phi \wedge \psi \in \Gamma^+$  iff  $\phi \in \Gamma^+$  and  $\psi \in \Gamma^+$ ,
- 3 if  $\phi \in \Gamma^+$  and  $\phi \rightarrow \psi \in \Gamma^+$ , then  $\psi \in \Gamma^+$ ,
- 4 if  $\phi$  is K provable, then  $\phi \in \Gamma^+$ .

## Lemma

Every K-consistent set  $\Gamma$  of formulas can be extended to a K-maximal consistent set  $\Gamma^+$ .

If  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:*

- Let  $\Gamma$  be a consistent set and  $\phi \in \mathcal{L}_\square$ .

## Lemma

Every K-consistent set  $\Gamma$  of formulas can be extended to a K-maximal consistent set  $\Gamma^+$ .

If  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:*

- Let  $\Gamma$  be a consistent set and  $\phi \in \mathcal{L}_{\square}$ .
- Then, (at least) one of  $\Gamma \cup \{\phi\}$  or  $\Gamma \cup \{\neg\phi\}$  is consistent.

## Lemma

Every  $K$ -consistent set  $\Gamma$  of formulas can be extended to a  $K$ -maximal consistent set  $\Gamma^+$ .

If  $\Gamma^+$  is a  $K$ -maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:*

- Let  $\Gamma$  be a consistent set and  $\phi \in \mathcal{L}_\square$ .
- Then, (at least) one of  $\Gamma \cup \{\phi\}$  or  $\Gamma \cup \{\neg\phi\}$  is consistent.
- Assume that  $\Gamma \cup \{\neg\phi\}$  is inconsistent.

## Lemma

Every  $K$ -consistent set  $\Gamma$  of formulas can be extended to a  $K$ -maximal consistent set  $\Gamma^+$ .

If  $\Gamma^+$  is a  $K$ -maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:*

- Let  $\Gamma$  be a consistent set and  $\phi \in \mathcal{L}_\square$ .
- Then, (at least) one of  $\Gamma \cup \{\phi\}$  or  $\Gamma \cup \{\neg\phi\}$  is consistent.
- Assume that  $\Gamma \cup \{\neg\phi\}$  is inconsistent.
- Then,  $\vdash_\Gamma \phi$  (by Fact 1).



## Lemma

Every  $K$ -consistent set  $\Gamma$  of formulas can be extended to a  $K$ -maximal consistent set  $\Gamma^+$ .

If  $\Gamma^+$  is a  $K$ -maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:*

- Let  $\Gamma$  be a consistent set and  $\phi \in \mathcal{L}_\square$ .
- Then, (at least) one of  $\Gamma \cup \{\phi\}$  or  $\Gamma \cup \{\neg\phi\}$  is consistent.
- Assume that  $\Gamma \cup \{\neg\phi\}$  is inconsistent.
- Then,  $\vdash_\Gamma \phi$  (by Fact 1).
- So there is a proof of  $\phi$  from  $\Gamma$  (and  $\Gamma$  is consistent).

## Lemma

Every  $K$ -consistent set  $\Gamma$  of formulas can be extended to a  $K$ -maximal consistent set  $\Gamma^+$ .

If  $\Gamma^+$  is a  $K$ -maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:*

- Let  $\Gamma$  be a consistent set and  $\phi \in \mathcal{L}_\square$ .
- Then, (at least) one of  $\Gamma \cup \{\phi\}$  or  $\Gamma \cup \{\neg\phi\}$  is consistent.
- Assume that  $\Gamma \cup \{\neg\phi\}$  is inconsistent.
- Then,  $\vdash_\Gamma \phi$  (by Fact 1).
- So there is a proof of  $\phi$  from  $\Gamma$  (and  $\Gamma$  is consistent).
- Therefore,  $\Gamma \cup \{\phi\}$  is consistent.

## Lemma

Every K-consistent set  $\Gamma$  of formulas can be extended to a K-maximal consistent set  $\Gamma^+$ .

If  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:* Let  $\phi_0, \phi_1, \phi_2, \dots$  be an enumeration of formulas in  $\mathcal{L}_\square$ . We define the set  $\Gamma^+$  as follows:

$$\Gamma_0 = \Gamma$$

$$\Gamma_{n+1} = \left\{ \begin{array}{ll} \Gamma_n \cup \{\phi_n\}, & \text{if this is K-consistent} \\ \Gamma_n \cup \{\neg\phi_n\}, & \text{otherwise} \end{array} \right\}$$

## Lemma

Every K-consistent set  $\Gamma$  of formulas can be extended to a K-maximal consistent set  $\Gamma^+$ .

If  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:* Let  $\phi_0, \phi_1, \phi_2, \dots$  be an enumeration of formulas in  $\mathcal{L}_\square$ . We define the set  $\Gamma^+$  as follows:

$$\Gamma_0 = \Gamma$$

$$\Gamma_{n+1} = \left\{ \begin{array}{ll} \Gamma_n \cup \{\phi_n\}, & \text{if this is K-consistent} \\ \Gamma_n \cup \{\neg\phi_n\}, & \text{otherwise} \end{array} \right\}$$

$$\Gamma^+ = \bigcup_{n \geq 0} \Gamma_n$$

If  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- for every formula  $\phi$ , exactly one of  $\phi$  and  $\neg\phi$  is in  $\Gamma^+$ ,

*Proof:* Let  $\Gamma^+$  be a maximal consistent set and  $\phi \in \mathcal{L}_\square$ . Then,

- either  $\Gamma^+ \cup \{\phi\}$  is consistent and so  $\phi \in \Gamma^+$ , since  $\Gamma^+$  is maximal,
- or  $\Gamma^+ \cup \{\phi\}$  is inconsistent, which implies that  $\Gamma^+ \cup \{\neg\phi\}$  is consistent and so  $\neg\phi \in \Gamma^+$ , since  $\Gamma^+$  is maximal.

## Lemma

In addition, if  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- $\phi \wedge \psi \in \Gamma^+$  iff  $\phi \in \Gamma^+$  and  $\psi \in \Gamma^+$ ,

*Proof:* ( $\Rightarrow$ ) Let  $\Gamma^+$  be a maximal consistent set and  $\phi \wedge \psi \in \Gamma^+$ . Then,

- $\phi \in \Gamma^+$ . For otherwise, we would have  $\neg\phi \in \Gamma^+$  and  $\Gamma^+$  would be inconsistent.
- $\psi \in \Gamma^+$  for the same reason.

( $\Leftarrow$ ) Similarly.

## Lemma

In addition, if  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- if  $\phi \in \Gamma^+$  and  $\phi \rightarrow \psi \in \Gamma^+$ , then  $\psi \in \Gamma^+$ ,

*Proof:* Let  $\Gamma^+$  be a maximal consistent set and  $\phi \in \Gamma^+$  and  $\phi \rightarrow \psi \in \Gamma^+$ . Then,

- $\vdash_{\Gamma^+} \psi$ , since K is closed under Modus Ponens. So it holds that  $\Gamma^+ \cup \{\psi\}$  is consistent and  $\psi \in \Gamma^+$ .

### Lemma

In addition, if  $\Gamma^+$  is a K-maximal consistent set, then it satisfies the following properties:

- if  $\phi$  is K provable, then  $\phi \in \Gamma^+$ .

*Proof:* Let  $\Gamma^+$  be a maximal consistent set and  $\phi$  is K provable. Then,

- $\vdash_{\Gamma^+} \phi$ . So it holds that  $\Gamma^+ \cup \{\phi\}$  is consistent and  $\phi \in \Gamma^+$ .



# Proof of completeness

Every K-consistent formula is satisfiable on some frame  $F \in \mathcal{K}$ .

*Proof:*

We construct a special model  $\mathcal{M}^c$  in which every K-consistent formula is satisfiable!

$\mathcal{M}^c$  is called the *canonical* model.

$\mathcal{M}^c$  has a state  $s_\Gamma$  corresponding to every maximal consistent set  $\Gamma$ .

# Proof of completeness

Every K-consistent formula is satisfiable on some frame  $F \in \mathcal{K}$ .

*Proof:*

$\mathcal{M}^c$  has a state  $s_\Gamma$  corresponding to every maximal consistent set  $\Gamma$ .

We will show that:

$$\mathcal{M}^c, s_\Gamma \models \phi \text{ iff } \phi \in \Gamma. \quad (*)$$

It suffices to prove  $(*)$ . Why?

# Proof of completeness

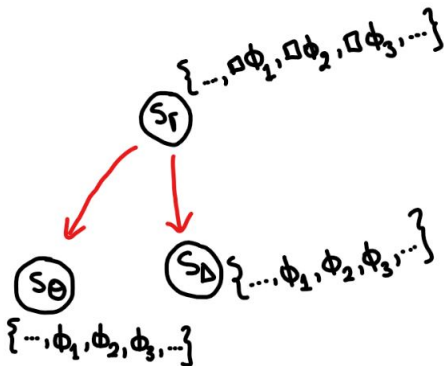
We define the canonical model  $\mathcal{M}^c$  for  $K$  to be the triple  $(W^c, R^c, V^c)$ , where:

- $W^c = \{s_\Gamma \mid \Gamma \text{ is a maximal consistent set}\}$

# Proof of completeness

We define the canonical model  $\mathcal{M}^c$  for  $K$  to be the triple  $(W^c, R^c, V^c)$ , where:

- $W^c = \{s_\Gamma \mid \Gamma \text{ is a maximal consistent set}\}$
- $s_\Gamma R^c s_\Delta \iff \text{if } \Box\phi \in \Gamma, \text{ then } \phi \in \Delta, \text{ for every } \phi \in \mathcal{L}_\Box$



# Proof of completeness

We define the canonical model  $\mathcal{M}^c$  for  $K$  to be the triple  $(W^c, R^c, V^c)$ , where:

- $W^c = \{s_\Gamma \mid \Gamma \text{ is a maximal consistent set}\}$
  - $s_\Gamma R^c s_\Delta \iff \text{if } \Box\phi \in \Gamma, \text{ then } \phi \in \Delta, \text{ for every } \phi \in \mathcal{L}_\Box$
- 

We define  $\Gamma_\Box = \{\phi \mid \Box\phi \in \Gamma\}$ . The definition of  $R^c$  becomes:

- $s_\Gamma R^c s_\Delta \iff \Gamma_\Box \subseteq \Delta$  or
- $R^c = \{(s_\Gamma, s_\Delta) \mid \Gamma_\Box \subseteq \Delta\}$

# Proof of completeness

We define the canonical model  $\mathcal{M}^c$  for  $K$  to be the triple  $(W^c, R^c, V^c)$ , where:

- $W^c = \{s_\Gamma \mid \Gamma \text{ is a maximal consistent set}\}$
- $s_\Gamma R^c s_\Delta \iff \text{if } \Box\phi \in \Gamma, \text{ then } \phi \in \Delta, \text{ for every } \phi \in \mathcal{L}_\Box$
- $V^c(p) = \{s_\Gamma \mid p \in \Gamma\}$

# Proof of completeness

We show by induction on the structure of  $\phi$  that for all  $\Gamma$ , we have that:

$$\mathcal{M}^c, s_\Gamma \models \phi \text{ iff } \phi \in \Gamma. \quad (*)$$

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c$ ,  $s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- If  $\phi$  is a propositional variable, then from the definition of  $V^c$ , it holds that  $s_\Gamma \models p$  iff  $p \in \Gamma$ .

---

Recall that we defined  $V^c(p) = \{s_\Gamma \mid p \in \Gamma\}$ .



# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \neg\psi$

Let  $s_\Gamma \in W^c$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \neg\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \neg\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

Equivalently,  $\mathcal{M}^c, s_\Gamma \not\models \psi$  iff  $\psi \notin \Gamma$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \neg\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

Equivalently,  $\mathcal{M}^c, s_\Gamma \not\models \psi$  iff  $\psi \notin \Gamma$ .

By the definition of truth,  $\mathcal{M}^c, s_\Gamma \models \neg\psi$  iff  $\psi \notin \Gamma$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \neg\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

Equivalently,  $\mathcal{M}^c, s_\Gamma \not\models \psi$  iff  $\psi \notin \Gamma$ .

By the definition of truth,  $\mathcal{M}^c, s_\Gamma \models \neg\psi$  iff  $\psi \notin \Gamma$ .

Since  $\Gamma$  is maximal consistent,  $\mathcal{M}^c, s_\Gamma \models \neg\psi$  iff  $\neg\psi \in \Gamma$  by the Lemma.

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \neg\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

Equivalently,  $\mathcal{M}^c, s_\Gamma \not\models \psi$  iff  $\psi \notin \Gamma$ .

By the definition of truth,  $\mathcal{M}^c, s_\Gamma \models \neg\psi$  iff  $\psi \notin \Gamma$ .

Since  $\Gamma$  is maximal consistent,  $\mathcal{M}^c, s_\Gamma \models \neg\psi$  iff  $\neg\psi \in \Gamma$  by the Lemma.

So,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \psi_1 \wedge \psi_2$

Let  $s_\Gamma \in W^c$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \psi_1 \wedge \psi_2$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi_1$  iff  $\psi_1 \in \Gamma$  and  $\mathcal{M}^c, s_\Gamma \models \psi_2$  iff  $\psi_2 \in \Gamma$ .



# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \psi_1 \wedge \psi_2$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi_1$  iff  $\psi_1 \in \Gamma$  and  $\mathcal{M}^c, s_\Gamma \models \psi_2$  iff  $\psi_2 \in \Gamma$ .

$$\mathcal{M}^c, s_\Gamma \models \psi_1 \wedge \psi_2$$

$\Leftrightarrow \mathcal{M}^c, s_\Gamma \models \psi_1$  and  $\mathcal{M}^c, s_\Gamma \models \psi_2$  (by the definition of truth)

$\Leftrightarrow \psi_1 \in \Gamma$  and  $\psi_2 \in \Gamma$  (by inductive hypothesis)

$\Leftrightarrow \psi_1 \wedge \psi_2 \in \Gamma$  (by Lemma)

So,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \Box\psi$

Let  $s_\Gamma \in W^c$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \Box\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

( $\Leftarrow$ ) Let  $\phi \in \Gamma$ . Since  $\Box\psi \in \Gamma$ , for every  $s_\Delta$  such that  $s_\Gamma R^c s_\Delta$ , we have that  $\psi \in \Delta$  (by the definition of  $R^c$ ).

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \Box\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

( $\Leftarrow$ ) Let  $\phi \in \Gamma$ . Since  $\Box\psi \in \Gamma$ , for every  $s_\Delta$  such that  $s_\Gamma R^c s_\Delta$ , we have that  $\psi \in \Delta$  (by the definition of  $R^c$ ).

So, for every  $s_\Delta$  such that  $s_\Gamma R^c s_\Delta$ , it holds that  $\mathcal{M}^c, s_\Delta \models \psi$  (by inductive hypothesis).

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \Box\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

( $\Leftarrow$ ) Let  $\phi \in \Gamma$ . Since  $\Box\psi \in \Gamma$ , for every  $s_\Delta$  such that  $s_\Gamma R^c s_\Delta$ , we have that  $\psi \in \Delta$  (by the definition of  $R^c$ ).

So, for every  $s_\Delta$  such that  $s_\Gamma R^c s_\Delta$ , it holds that  $\mathcal{M}^c, s_\Delta \models \psi$  (by inductive hypothesis).

This means that  $\mathcal{M}^c, s_\Gamma \models \Box\psi$  (by the definition of truth).

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \Box\psi$

Let  $s_\Gamma \in W^c$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

( $\Leftarrow$ ) Let  $\phi \in \Gamma$ . Since  $\Box\psi \in \Gamma$ , for every  $s_\Delta$  such that  $s_\Gamma R^c s_\Delta$ , we have that  $\psi \in \Delta$  (by the definition of  $R^c$ ).

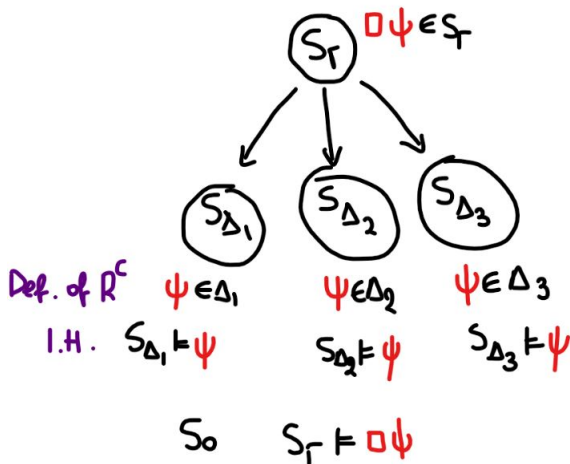
So, for every  $s_\Delta$  such that  $s_\Gamma R^c s_\Delta$ , it holds that  $\mathcal{M}^c, s_\Delta \models \psi$  (by inductive hypothesis).

This means that  $\mathcal{M}^c, s_\Gamma \models \Box\psi$  (by the definition of truth).

So,  $\mathcal{M}^c, s_\Gamma \models \phi$ .

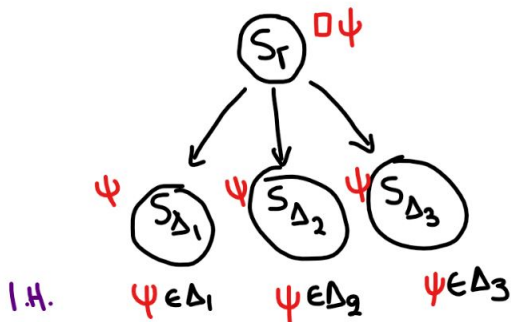
# Intuition

It is not hard to prove that if “all maximal consistent sets accessible from  $\Gamma$  contain  $\psi$ ”, then  $s_\Gamma$  satisfies  $\Box\psi$ .



# Intuition for the converse

It is a little harder to prove that if  $\Box\psi$  is true on  $s_\Gamma$ , then  $\Box\psi$  belongs to the maximal consistent set  $\Gamma$ .



But why should  $\Box\psi \in \Gamma$ ?



# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \Box\psi$

Let  $s_\Gamma \in W^c$ . We are going to show that  $\phi \in \Gamma$ .

# Proof of completeness

For all  $\Gamma$ ,  $\mathcal{M}^c, s_\Gamma \models \phi$  iff  $\phi \in \Gamma$ . (\*)

- $\phi = \Box\psi$

Let  $s_\Gamma \in W^c$ . We are going to show that  $\phi \in \Gamma$ .

By inductive hypothesis,  $\mathcal{M}^c, s_\Gamma \models \psi$  iff  $\psi \in \Gamma$ .

( $\Rightarrow$ ) Let  $\mathcal{M}^c, s_\Gamma \models \phi$ .

# Proof of completeness

( $\Rightarrow$ )  $\mathcal{M}^c, s_\Gamma \models \Box\psi$ . We are going to show that  $\Box\psi \in \Gamma$ .

We are going to prove the following facts:

1. The set  $\Gamma_\Box \cup \{\neg\psi\}$  is inconsistent.
2. A finite subset  $\{\phi_1, \dots, \phi_k, \neg\psi\}$  of  $\Gamma_\Box \cup \{\neg\psi\}$  is inconsistent.
3. The set  $\{\Box\phi_1, \dots, \Box\phi_k, \neg\Box\psi\}$  is inconsistent.
4.  $\Box\psi \in \Gamma$ .

---

Recall that  $\Gamma_\Box = \{\phi \mid \Box\phi \in \Gamma\}$ .

---

# Proof of completeness

**Fact 1.** The set  $\Gamma_{\Box} \cup \{\neg\psi\}$  is inconsistent.

*Proof of Fact 1:* Suppose that  $\Gamma_{\Box} \cup \{\neg\psi\}$  is consistent.

- Then, it can be extended to a maximal consistent set, let's say  $\Theta$ .
- Since,  $\Gamma_{\Box} \subseteq \Theta$ , we have that  $s_{\Gamma} R^c s_{\Theta}$ , by definition of  $R^c$ .
- It holds that  $\neg\psi \in \Theta$ , so by inductive hypothesis  $\mathcal{M}^c, s_{\Theta} \models \neg\psi$ .
- Therefore,  $\mathcal{M}^c, s_{\Gamma} \models \neg\Box\psi$ .

Contradiction!

---

Recall that **we know**  $\mathcal{M}^c, s_{\Gamma} \models \Box\psi$  and we are going to show that  $\Box\psi \in \Gamma$ .

---

# Proof of completeness

**Fact 2.** A finite subset  $\{\phi_1, \dots, \phi_k, \neg\psi\}$  of  $\Gamma_{\square} \cup \{\neg\psi\}$  is inconsistent.

*Proof of Fact 2:* Since every proof is finite, for any inconsistent set, there is a finite subset of that set which is inconsistent.

# Proof of completeness

**Fact 3.** The set  $\{\Box\phi_1, \dots, \Box\phi_k, \neg\Box\psi\}$  is inconsistent.

*Proof of Fact 3:*

- 1 Since  $\{\phi_1, \dots, \phi_k\} \cup \{\neg\psi\}$  is inconsistent, it holds that  $\{\phi_1, \dots, \phi_k\} \vdash \psi$ . By the Deduction Theorem,  $\vdash (\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .

# Proof of completeness

**Fact 3.** The set  $\{\Box\phi_1, \dots, \Box\phi_k, \neg\Box\psi\}$  is inconsistent.

*Proof of Fact 3:*

- 1 Since  $\{\phi_1, \dots, \phi_k\} \cup \{\neg\psi\}$  is inconsistent, it holds that  $\{\phi_1, \dots, \phi_k\} \vdash \psi$ . By the Deduction Theorem,  $\vdash (\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .
- 2 By the Necessitation Rule, we have that  $\vdash \Box(\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .

# Proof of completeness

**Fact 3.** The set  $\{\Box\phi_1, \dots, \Box\phi_k, \neg\Box\psi\}$  is inconsistent.

*Proof of Fact 3:*

- 1 Since  $\{\phi_1, \dots, \phi_k\} \cup \{\neg\psi\}$  is inconsistent, it holds that  $\{\phi_1, \dots, \phi_k\} \vdash \psi$ . By the Deduction Theorem,  $\vdash (\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .
- 2 By the Necessitation Rule, we have that  $\vdash \Box(\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .
- 3 By the axiom (K) and propositional reasoning we have that  $\vdash \Box(\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots))) \rightarrow (\Box\phi_1 \rightarrow (\Box\phi_2 \rightarrow (\dots(\Box\phi_k \rightarrow \Box\psi)\dots)))$ .



# Proof of completeness

**Fact 3.** The set  $\{\Box\phi_1, \dots, \Box\phi_k, \neg\Box\psi\}$  is inconsistent.

*Proof of Fact 3:*

- 1 Since  $\{\phi_1, \dots, \phi_k\} \cup \{\neg\psi\}$  is inconsistent, it holds that  $\{\phi_1, \dots, \phi_k\} \vdash \psi$ . By the Deduction Theorem,  $\vdash (\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .
- 2 By the Necessitation Rule, we have that  $\vdash \Box(\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .
- 3 By the axiom (K) and propositional reasoning we have that  $\vdash \Box(\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots))) \rightarrow (\Box\phi_1 \rightarrow (\Box\phi_2 \rightarrow (\dots(\Box\phi_k \rightarrow \Box\psi)\dots)))$ .
- 4 By 2, 3 and Modus Ponens we have that  $\vdash (\Box\phi_1 \rightarrow (\Box\phi_2 \rightarrow (\dots(\Box\phi_k \rightarrow \Box\psi)\dots)))$ .

# Proof of completeness

**Fact 3.** The set  $\{\Box\phi_1, \dots, \Box\phi_k, \neg\Box\psi\}$  is inconsistent.

*Proof of Fact 3:*

- 1 Since  $\{\phi_1, \dots, \phi_k\} \cup \{\neg\psi\}$  is inconsistent, it holds that  $\{\phi_1, \dots, \phi_k\} \vdash \psi$ . By the Deduction Theorem,  $\vdash (\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .
- 2 By the Necessitation Rule, we have that  $\vdash \Box(\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots)))$ .
- 3 By the axiom (K) and propositional reasoning we have that  $\vdash \Box(\phi_1 \rightarrow (\phi_2 \rightarrow (\dots(\phi_k \rightarrow \psi)\dots))) \rightarrow (\Box\phi_1 \rightarrow (\Box\phi_2 \rightarrow (\dots(\Box\phi_k \rightarrow \Box\psi)\dots)))$ .
- 4 By 2, 3 and Modus Ponens we have that  $\vdash (\Box\phi_1 \rightarrow (\Box\phi_2 \rightarrow (\dots(\Box\phi_k \rightarrow \Box\psi)\dots)))$ .
- 5 So, it holds that  $\{\Box\phi_1, \dots, \Box\phi_k\} \vdash \Box\psi$  which means that  $\{\Box\phi_1, \dots, \Box\phi_k, \neg\Box\psi\}$  is inconsistent.

# Proof of completeness

**Fact 4.**  $\Box\psi \in \Gamma$ .

*Proof of Fact 4:*

- Since  $\phi_1, \dots, \phi_k \in \Gamma_{\Box}$ , we have that  $\Box\phi_1, \dots, \Box\phi_k \in \Gamma$  (by definition of  $\Gamma_{\Box}$ ).

**Fact 4.**  $\Box\psi \in \Gamma$ .

*Proof of Fact 4:*

- Since  $\phi_1, \dots, \phi_k \in \Gamma_{\Box}$ , we have that  $\Box\phi_1, \dots, \Box\phi_k \in \Gamma$  (by definition of  $\Gamma_{\Box}$ ).
- Since  $\Gamma$  is consistent,  $\neg\Box\psi \notin \Gamma$  (by Fact 3).

**Fact 4.**  $\Box\psi \in \Gamma$ .

*Proof of Fact 4:*

- Since  $\phi_1, \dots, \phi_k \in \Gamma_{\Box}$ , we have that  $\Box\phi_1, \dots, \Box\phi_k \in \Gamma$  (by definition of  $\Gamma_{\Box}$ ).
- Since  $\Gamma$  is consistent,  $\neg\Box\psi \notin \Gamma$  (by Fact 3).
- But since  $\Gamma$  is maximal, exactly one of  $\Box\psi$  and  $\neg\Box\psi$  must be in  $\Gamma$  (by Lemma).
- So,  $\Box\psi \in \Gamma$ .

K4 is sound and complete with respect to  $\mathcal{K}4$  (the class of transitive frames).

*Proof:* **Soundness:** Easy.

### **Completeness:**

- We define the canonical model  $\mathcal{M}^c$  for K4 as before but now  $W^c$  is the set of K4-maximal consistent sets of formulas.
- Every K4-consistent formula  $\phi$  is satisfiable on the canonical model  $\mathcal{M}^c$  for K4.
- $\mathcal{M}^c$  is a transitive model, i.e.  $R^c$  is transitive.

---

Recall that the axiom 4 is the following:  $\Box\phi \rightarrow \Box\Box\phi$

---

$\mathcal{M}^c$  is a transitive model, i.e.  $R^c$  is transitive.

*Proof:* Let  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  such that  $s_\Gamma R^c s_\Delta$  and  $s_\Delta R^c s_\Theta$ . We are going to show that  $s_\Gamma R^c s_\Theta$ .

We are going to show that  $\Box\phi \in \Gamma$  implies  $\phi \in \Theta$ . Then, by the definition of  $R^c$ , we have that  $s_\Gamma R^c s_\Theta$ .

Let  $\Box\phi \in \Gamma$ . Also,  $\Box\phi \rightarrow \Box\Box\phi \in \Gamma$ , since  $\Gamma$  is K4-maximal consistent. So, by Modus Ponens,  $\Box\Box\phi \in \Gamma$ .

Since  $s_\Gamma R^c s_\Delta$ , we have that  $\Box\phi \in \Delta$ . Finally, since  $s_\Delta R^c s_\Theta$ , we have that  $\phi \in \Theta$ .

We want to prove the following:

If  $\mathcal{M}, s_\Gamma \models \Box\psi$ , then  $\Box\psi \in \Gamma$ .

Why the following proof does not work?

- We assume that  $\neg\Box\psi \in \Gamma$  towards a contradiction.
- This means that there is a state  $s_\Delta \in W^c$  such that  $s_\Gamma R^c s_\Delta$  and  $\neg\psi \in \Delta$ .
- By inductive hypothesis, there is a state  $s_\Delta \in W^c$  such that  $s_\Gamma R^c s_\Delta$  and  $\mathcal{M}, s_\Delta \models \neg\psi$ .
- This implies that  $\mathcal{M}, s_\Gamma \models \neg\Box\psi$ , contradiction!

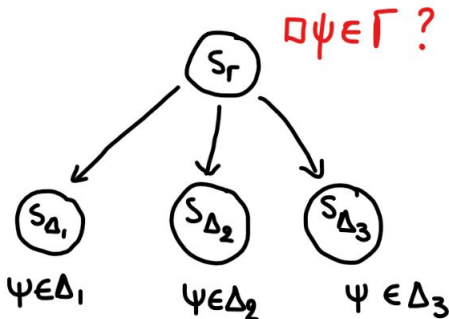


The following two equivalent propositions do not hold!

$\neg \Box \psi \in \Gamma \Rightarrow$  there is a state  $s_{\Delta} \in W^c$  such that  $s_{\Gamma} R^c s_{\Delta}$  and  $\neg \psi \in \Delta$ .

$\Leftrightarrow$

for every state  $s_{\Delta} \in W^c$  such that  $s_{\Gamma} R^c s_{\Delta}$  it holds that  $\psi \in \Delta \Rightarrow \Box \psi \in \Gamma$



K5 is sound and complete with respect to  $\mathcal{K}5$  (the class of euclidean frames).

*Proof:* **Soundness:** Easy.

**Completeness:** We show that the canonical model  $\mathcal{M}^c$  for  $\mathcal{K}5$  is a euclidean model, i.e.  $R^c$  is euclidean: For every  $s_\Gamma, s_\Delta, s_\Theta \in W^c$ :

$$(s_\Gamma R^c s_\Delta \text{ and } s_\Gamma R^c s_\Theta) \text{ then } s_\Delta R^c s_\Theta$$

---

Recall that the axiom 5 is the following:  $\neg \Box \phi \rightarrow \Box \neg \Box \phi$

---

For every  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  : ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ) then  $s_\Delta R^c s_\Theta$ .

*Proof:*

- Let  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  such that ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ).

For every  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  : ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ) then  $s_\Delta R^c s_\Theta$ .

*Proof:*

- Let  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  such that ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ).
- We know that  $\Gamma_\square \subseteq \Delta$  and  $\Gamma_\square \subseteq \Theta$ . We are going to show that for all  $\phi$ , if  $\square\phi \in \Delta$  then  $\phi \in \Theta$  (or  $\Delta_\square \subseteq \Theta$ ).

For every  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  : ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ) then  $s_\Delta R^c s_\Theta$ .

*Proof:*

- Let  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  such that ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ).
- We know that  $\Gamma_\square \subseteq \Delta$  and  $\Gamma_\square \subseteq \Theta$ . We are going to show that for all  $\phi$ , if  $\square\phi \in \Delta$  then  $\phi \in \Theta$  (or  $\Delta_\square \subseteq \Theta$ ).
- Suppose that  $\square\phi \in \Delta$ . If  $\phi \notin \Theta$ , then  $\neg\phi \in \Theta$ , since  $\Theta$  is maximal consistent.

For every  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  : ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ) then  $s_\Delta R^c s_\Theta$ .

*Proof:*

- Let  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  such that ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ).
- We know that  $\Gamma_\square \subseteq \Delta$  and  $\Gamma_\square \subseteq \Theta$ . We are going to show that for all  $\phi$ , if  $\square\phi \in \Delta$  then  $\phi \in \Theta$  (or  $\Delta_\square \subseteq \Theta$ ).
- Suppose that  $\square\phi \in \Delta$ . If  $\phi \notin \Theta$ , then  $\neg\phi \in \Theta$ , since  $\Theta$  is maximal consistent.
- Then  $\square\phi \notin \Gamma$  by definition of  $R^c$ . So  $\neg\square\phi \in \Gamma$  by maximality of  $\Gamma$ .

For every  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  : ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ) then  $s_\Delta R^c s_\Theta$ .

*Proof:*

- Let  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  such that ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ).
- We know that  $\Gamma_\square \subseteq \Delta$  and  $\Gamma_\square \subseteq \Theta$ . We are going to show that for all  $\phi$ , if  $\square\phi \in \Delta$  then  $\phi \in \Theta$  (or  $\Delta_\square \subseteq \Theta$ ).
- Suppose that  $\square\phi \in \Delta$ . If  $\phi \notin \Theta$ , then  $\neg\phi \in \Theta$ , since  $\Theta$  is maximal consistent.
- Then  $\square\phi \notin \Gamma$  by definition of  $R^c$ . So  $\neg\square\phi \in \Gamma$  by maximality of  $\Gamma$ .
- Since  $\neg\square\phi \rightarrow \square\neg\square\phi \in \Gamma$  and  $\Gamma$  is closed under Modus Ponens, it holds that  $\square\neg\square\phi \in \Gamma$ .

For every  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  : ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ) then  $s_\Delta R^c s_\Theta$ .

*Proof:*

- Let  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  such that ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ).
- We know that  $\Gamma_\square \subseteq \Delta$  and  $\Gamma_\square \subseteq \Theta$ . We are going to show that for all  $\phi$ , if  $\square\phi \in \Delta$  then  $\phi \in \Theta$  (or  $\Delta_\square \subseteq \Theta$ ).
- Suppose that  $\square\phi \in \Delta$ . If  $\phi \notin \Theta$ , then  $\neg\phi \in \Theta$ , since  $\Theta$  is maximal consistent.
- Then  $\square\phi \notin \Gamma$  by definition of  $R^c$ . So  $\neg\square\phi \in \Gamma$  by maximality of  $\Gamma$ .
- Since  $\neg\square\phi \rightarrow \square\neg\square\phi \in \Gamma$  and  $\Gamma$  is closed under Modus Ponens, it holds that  $\square\neg\square\phi \in \Gamma$ .
- Therefore,  $\neg\square\phi \in \Delta$  by definition of  $R^c$ , a contradiction with the fact that  $\square\phi \in \Delta$ .



For every  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  : ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ) then  $s_\Delta R^c s_\Theta$ .

*Proof:*

- Let  $s_\Gamma, s_\Delta, s_\Theta \in W^c$  such that ( $s_\Gamma R^c s_\Delta$  and  $s_\Gamma R^c s_\Theta$ ).
- We know that  $\Gamma_\square \subseteq \Delta$  and  $\Gamma_\square \subseteq \Theta$ . We are going to show that for all  $\phi$ , if  $\square\phi \in \Delta$  then  $\phi \in \Theta$  (or  $\Delta_\square \subseteq \Theta$ ).
- Suppose that  $\square\phi \in \Delta$ . If  $\phi \notin \Theta$ , then  $\neg\phi \in \Theta$ , since  $\Theta$  is maximal consistent.
- Then  $\square\phi \notin \Gamma$  by definition of  $R^c$ . So  $\neg\square\phi \in \Gamma$  by maximality of  $\Gamma$ .
- Since  $\neg\square\phi \rightarrow \square\neg\square\phi \in \Gamma$  and  $\Gamma$  is closed under Modus Ponens, it holds that  $\square\neg\square\phi \in \Gamma$ .
- Therefore,  $\neg\square\phi \in \Delta$  by definition of  $R^c$ , a contradiction with the fact that  $\square\phi \in \Delta$ .
- So  $\phi \in \Theta$ .

To show that the logic  $K+AX$ , where  $AX \in \{T, B, D, 4, 5\}$  is **sound and complete** with respect to the corresponding class of frames (the class of **reflexive, symmetric, serial, transitive, euclidean** frames respectively), it suffices to show that:

- 1  $AX$  is valid on every frame in the corresponding class of frames.
- 2 The **canonical model** for the logic  $K+AX$  has the corresponding property.

**Exercise:** Show that the logic  $T$  is sound and complete with respect to  $\mathcal{T}$ .

Every S5 consistent formula  $\phi$  is satisfiable in a *universal* model  $\mathcal{M} = (W, R, V)$  such that  $R = \{(s, t) \mid s, t \in W\}$ .

*Proof:* Suppose  $\phi$  is S5 consistent.

There is a *reflexive, transitive, euclidean* model  $\mathcal{M}' = (W', R', V')$  and a state  $s_0 \in W'$  such that  $\mathcal{M}', s_0 \models \phi$ .

Let  $R'[s_0] = \{t \in W' \mid s_0 R' t\}$ .

- 1 Since  $R'$  is reflexive,  $R'[s_0] \neq \emptyset$ . Also,  $tR't$  for every  $t \in R'[s_0]$ .
- 2 Since  $R'$  is euclidean, we have  $tR'u$  for every  $t, u \in R'[s_0]$ .
- 3 Since  $R'$  is transitive, if  $t \in R'[s_0]$  and  $tR'u$  then  $u \in R'[s_0]$ .

Let  $\mathcal{M} = (W, R, V)$ , where:

- 1  $W = R'[s_0]$
- 2  $R = \{(s, t) \mid s, t \in W\}$ , which is also the restriction of  $R'$  to  $W$
- 3  $V$  is the restriction of  $V'$  to  $W$

Let  $\mathcal{M} = (W, R, V)$ , where:

- 1  $W = R'[s_0]$
  - 2  $R = \{(s, t) \mid s, t \in W\}$ , which is also the restriction of  $R'$  to  $W$
  - 3  $V$  is the restriction of  $V'$  to  $W$
- The restriction of  $R'$  to  $W$  is not only an equivalence relation (reflexive, symmetric, transitive), but it is also a universal relation.
  - It holds that for every  $t \in W$  and  $\phi \in \mathcal{L}_\square$ :

$$\mathcal{M}, t \models \phi \Leftrightarrow \mathcal{M}', t \models \phi$$

(proof by induction on the structure of  $\phi$ )

# Syntax of $\mathcal{L}_\square$ (reminder)

The language of modal logic  $\mathcal{L}_\square$  was defined by the following BNF:

$$\phi := p \mid \neg\phi \mid \phi \wedge \phi \mid \square\phi$$

# Syntax of $\mathcal{L}_\square$ (reminder)

The language of modal logic  $\mathcal{L}_\square$  was defined by the following BNF:

$$\phi := p \mid \neg\phi \mid \phi \wedge \phi \mid \square\phi$$

We can say that we implicitly defined our set of operators to be  $OP = \{\square\}$  and an infinite set of propositional formulas  $\Phi = \{p, q, \dots\}$ .

# Syntax - Reasoning about knowledge

We define the language  $\mathcal{L}(\Phi, Op, Ag)$  by the following BNF:

$$\phi := p \mid \neg\phi \mid \phi \wedge \phi \mid K_a\phi$$

where  $\Phi = \{p, q, \dots\}$  is the set of propositional variables,  $Ag$  is a set of agent symbols and  $Op = \{K_a \mid a \in Ag\}$  is a set of knowledge operators\*.

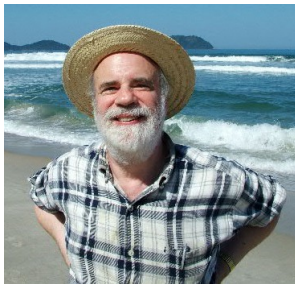
\*We have a knowledge operator for each agent.



# Semantics - Reasoning about knowledge

Given a set  $\Phi$  of propositional variables and a set  $Ag$  of agents, a **Kripke** model is a structure  $\mathcal{M} = (W, R^{Ag}, V)$ , where:

- $W$  is a set of states
- $R^{Ag} : Ag \rightarrow \mathcal{P}(W^2)$  is a function that for every agent  $a \in Ag$  yields an accessibility relation  $R_a \subseteq W \times W$
- $V : \Phi \rightarrow \mathcal{P}(W)$  is the valuation that for every  $p \in \Phi$  yields a subset of  $W$



# Truth in a Kripke model - Reasoning about knowledge

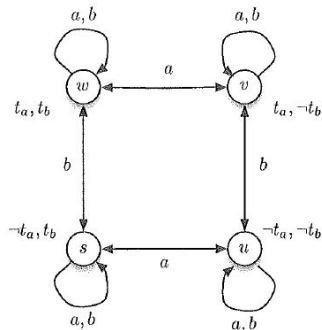
Given a model  $\mathcal{M} = (W, R^{Ag}, V)$ , we define what it means for a formula  $\phi$  to be true in  $(\mathcal{M}, s)$ , written as  $\mathcal{M}, s \models \phi$ , inductively, as follows:

- $\mathcal{M}, s \models p$  iff  $s \in V(p)$
- $\mathcal{M}, s \models \phi \wedge \psi$  iff  $\mathcal{M}, s \models \phi$  and  $\mathcal{M}, s \models \psi$
- $\mathcal{M}, s \models \neg\phi$  iff not  $\mathcal{M}, s \models \phi$
- $\mathcal{M}, s \models K_a\phi$  iff for every  $t \in W$  such that  $(s, t) \in R_a$  we have that  $\mathcal{M}, t \models \phi$

We interpret  $K_a\phi$  as “agent  $a$  knows  $\phi$ ”.

We interpret  $\neg K_a\neg\phi$  as “ $\phi$  is compatible with agent’s knowledge”.

# Example



Are the following correct?

1.  $\mathcal{M}, w \models K_a t_b$
2.  $\mathcal{M}, w \models K_b t_b$
3.  $\mathcal{M}, w \models K_b K_b t_b$
4.  $\mathcal{M}, w \models K_a K_b t_b$

# Axiomatization - Reasoning about knowledge

Let  $\mathcal{L}(\Phi, Op, Ag)$  and  $Op = \{K_a \mid a \in Ag\}$ .

The axiomatic system S5, or S5<sub>n</sub>, consists of the following axioms and rules of inference, which apply for all agents  $a \in Ag$ :

- 1** All substitution instances of propositional tautologies.
- K**  $K_a(\varphi \rightarrow \psi) \rightarrow (K_a\varphi \rightarrow K_a\psi)$  for all  $a \in Ag$ .
- MP** From  $\varphi$  and  $\varphi \rightarrow \psi$  infer  $\psi$ .
- Nec** From  $\varphi$  infer  $K_a\varphi$ .

$$\mathbf{T.} \quad K_a\varphi \rightarrow \varphi$$

- 4.  $K_a\varphi \rightarrow K_aK_a\varphi$
- 5.  $\neg K_a\varphi \rightarrow K_a\neg K_a\varphi$

Let  $\mathcal{S}5_n = \{\text{the class of frames that include } n \text{ accessibility relations}$   
which are equivalence relations}

$S5_n$  is sound and complete with respect to  $\mathcal{S}5_n$ .

- The system S5 is an extension of the system K with the so-called “properties of knowledge’.

# Reasoning about knowledge

- The system S5 is an extension of the system K with the so-called “properties of knowledge”.
- Likewise, KD45, has been viewed as characterizing the “properties of belief”.

# Reasoning about knowledge

- The system S5 is an extension of the system K with the so-called “properties of knowledge”.
- Likewise, KD45, has been viewed as characterizing the “properties of belief”.
- The axiom  $T$ , the *truth* axiom, expresses that whatever one knows, must be true (knowledge is *veridical*).
- The axioms 4 and 5 specify *introspective* agents:



- The system S5 is an extension of the system K with the so-called “properties of knowledge”.
- Likewise, KD45, has been viewed as characterizing the “properties of belief”.
- The axiom  $T$ , the *truth* axiom, expresses that whatever one knows, must be true (knowledge is *veridical*).
- The axioms 4 and 5 specify *introspective* agents:
  - ① 4 says that an agent knows what she knows (*positive introspection*)

- The system  $S5$  is an extension of the system  $K$  with the so-called “properties of knowledge”.
- Likewise,  $KD45$ , has been viewed as characterizing the “properties of belief”.
- The axiom  $T$ , the *truth* axiom, expresses that whatever one knows, must be true (knowledge is *veridical*).
- The axioms  $4$  and  $5$  specify *introspective* agents:
  - 1  $4$  says that an agent knows what she knows (*positive introspection*)
  - 2  $5$  says that an agent knows what she does not know (*negative introspection*)

- The system  $S5$  is an extension of the system  $K$  with the so-called “properties of knowledge”.
- Likewise,  $KD45$ , has been viewed as characterizing the “properties of belief”.
- The axiom  $T$ , the *truth* axiom, expresses that whatever one knows, must be true (knowledge is *veridical*).
- The axioms  $4$  and  $5$  specify *introspective* agents:
  - ①  $4$  says that an agent knows what she knows (*positive introspection*)
  - ②  $5$  says that an agent knows what she does not know (*negative introspection*)
- Recall that  $4$  can be deduced in  $KT5$ .

These are idealizations. For example,

- Axiom  $T$ : It is human to claim one day that you know a fact, and the next day admit that you were wrong.

These are idealizations. For example,

- Axiom  $T$ : It is human to claim one day that you know a fact, and the next day admit that you were wrong.
- Axiom 4: A pupil is asked a question about  $\phi$  to which she does not know the answer. By asking more questions, the pupil is able to answer that  $\phi$  is true. So, the pupil knew  $\phi$ , but she was not aware that she knew  $\phi$ .

These are idealizations. For example,

- Axiom  $T$ : It is human to claim one day that you know a fact, and the next day admit that you were wrong.
- Axiom 4: A pupil is asked a question about  $\phi$  to which she does not know the answer. By asking more questions, the pupil is able to answer that  $\phi$  is true. So, the pupil knew  $\phi$ , but she was not aware that she knew  $\phi$ .
- Axiom 5: Your friend does not know about Goldbach's conjecture until you tell him about it (or until you gift him the book "Uncle Peter and Goldbach's conjecture"). But he does not know that he does not know that.

# Reasoning about knowledge

- Axiom  $K$ : It assumes perfect reasoners who can infer logical consequences of their knowledge.

# Reasoning about knowledge

- Axiom  $K$ : It assumes perfect reasoners who can infer logical consequences of their knowledge.
- Rule of Necessitation: It assumes that agents would infer all theorems of S5. If agents have limited sources, for example if they are deterministic polynomial-time machines, then this is not so plausible. The validity problem for S5 is coNP-complete.



# Reasoning about knowledge

- Axiom  $K$ : It assumes perfect reasoners who can infer logical consequences of their knowledge.
- Rule of Necessitation: It assumes that agents would infer all theorems of S5. If agents have limited sources, for example if they are deterministic polynomial-time machines, then this is not so plausible. The validity problem for S5 is coNP-complete.
- To reason about belief,  $T$  is replaced by  $D: B_a\phi \rightarrow \neg B_a\neg\phi$ . Or, equivalently, by the axiom  $\neg B_a\perp$ .
- Logical systems that have operators for both knowledge and belief often include the axiom  $K_a\phi \rightarrow B_a\phi$  (bridge axiom).