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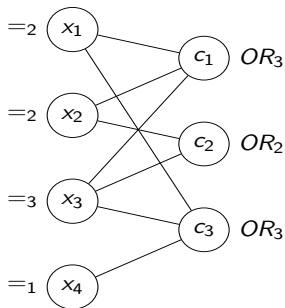
$$\#CSP_q(\mathcal{F}) \leq_T \text{Holant}_q(\mathcal{EQ}_k \mid \mathcal{F}), \text{ where } \mathcal{EQ}_k = \{=_{k} \mid k \geq 1\}.$$

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For example, $(x_1 \vee x_2 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_1 \vee x_3 \vee x_4)$ which corresponds to

- 1 variables x_1, x_2, x_3, x_4 ,
- 2 constraints $(OR_3, x_1, x_2, x_3), (OR_2, x_2, x_3), (OR_3, x_1, x_3, x_4)$,

becomes



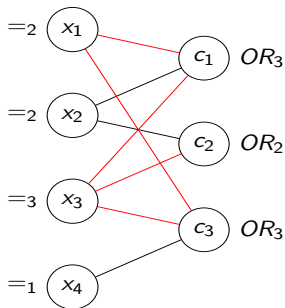
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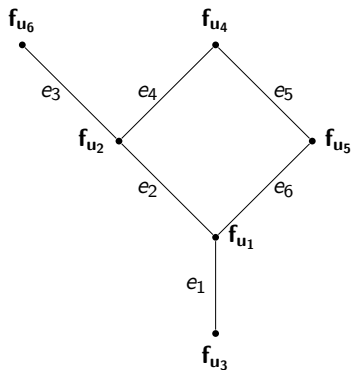
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Every Holant problem can be expressed as a #CSP



\implies

- Variables e_1, e_2, \dots, e_6
- Constraints
 - ▶ (f_{u_1}, e_1, e_2, e_6)
 - ▶ (f_{u_2}, e_2, e_3, e_4)
 - ▶ (f_{u_3}, e_1)
 - ▶ (f_{u_4}, e_4, e_5)
 - ▶ (f_{u_5}, e_5, e_6)
 - ▶ (f_{u_6}, e_3)

$\text{Holant}_q(\mathcal{F}) \leq_T \#\text{CSP}_q(\mathcal{F})$, where every variable appears exactly in 2 constraints.

Overview

1 Introduction to Counting Complexity

- The class $\#P$
- Three classes of counting problems
- Holographic transformations

2 Matchgates and Holographic Algorithms

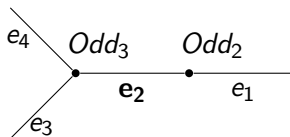
- Kasteleyn's algorithm
- Matchgates
- Holographic algorithms

3 Dichotomy Theorems for counting problems

Local gadget constructions via \mathcal{F} -gates

In what follows we focus on functions over the Boolean domain that take values in \mathbb{C} .

- In the context of Holant problems, we construct a graph in order to realize a signature.
- We say a signature f is realizable from \mathcal{F} if there is a graph with some dangling edges, where each vertex is assigned a signature from \mathcal{F} , and the resulting signature with inputs on the dangling edges is exactly f .
- For example, $Even_3$ is realizable from $\mathcal{F} = \{Odd_3, Odd_2\}$.



- We call this an \mathcal{F} -gate.

\mathcal{F} -gates

An \mathcal{F} -gate F is similar to a signature grid (G, π) except that $G = (V, E, E')$ with regular edges E and some **dangling edges** E' , and $E \cap E' = \emptyset$.

Each dangling edge $e' \in E'$ has only one end incident to a vertex in V , and the other end dangling.

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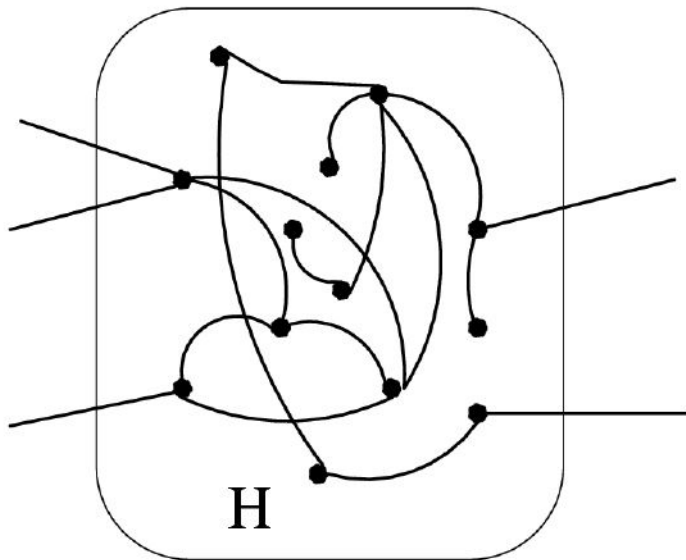
An \mathcal{F} -gate F with k dangling edges defines the function

$$\Gamma(y_1, \dots, y_k) = \sum_{\sigma: E \rightarrow [2]} \prod_{u \in V} f_u(\hat{\sigma} \upharpoonright_{E(u)})$$

where $(y_1, \dots, y_k) \in [2]^k$ is an assignment on the dangling edges, $\hat{\sigma}$ is the extension of the assignment σ on the internal edges E by the assignment (y_1, \dots, y_k) on the dangling edges E' .

We call this function Γ the signature of this \mathcal{F} -gate.

An example of an \mathcal{F} -gate with underlying graph H



The signature matrix

Let f be a signature of arity 2 over the Boolean domain. Then f can be written as a vector $f = (f_{00}, f_{01}, f_{10}, f_{11})$ or as a matrix $M = \begin{bmatrix} f_{00} & f_{01} \\ f_{10} & f_{11} \end{bmatrix}$.

For example, if F, F' are the following \mathcal{F} -gates

$$\begin{array}{c} \text{---} \\ e_1 \end{array} F \begin{array}{c} \text{---} \\ e_2 \end{array} \quad \text{with } M = \begin{bmatrix} 0 & 1 \\ 1 & 3 \end{bmatrix}$$

$$\begin{array}{c} \text{---} \\ e'_1 \end{array} F' \begin{array}{c} \text{---} \\ e'_2 \end{array} \quad \text{with } M' = \begin{bmatrix} 2 & 0 \\ 1 & 1 \end{bmatrix}$$

then $F(0,0) = 0$, $F(0,1) = 1$, $F(1,0) = 1$ and $F(1,1) = 3$,
 $F'(0,0) = 2$, $F'(0,1) = 0$, $F'(1,0) = 1$ and $F'(1,1) = 1$.

Multiplication of signature matrices

$$\overline{e_1} \quad F \quad \overline{e_2} \quad \text{with } M = \begin{bmatrix} 0 & 1 \\ 1 & 3 \end{bmatrix}$$

$$\overline{e'_1} \quad F' \quad \overline{e'_2} \quad \text{with } M' = \begin{bmatrix} 2 & 0 \\ 1 & 1 \end{bmatrix}$$

The matrix product $MM' = \begin{bmatrix} 1 & 1 \\ 5 & 3 \end{bmatrix}$ is the signature matrix of the \mathcal{F} -gate

$$\overline{e_1} \quad F \quad \overline{\mathbf{e}} \quad F' \quad \overline{e'_2}$$

which links F and F' by merging e_2 and e'_1 .

Multiplication of signature matrices

$$MM' = \begin{bmatrix} M_{00}M'_{00} + M_{01}M'_{10} & M_{00}M'_{01} + M_{01}M'_{11} \\ M_{10}M'_{00} + M_{11}M'_{10} & M_{10}M'_{01} + M_{11}M'_{11} \end{bmatrix}$$

$$\begin{array}{c} \text{---} e_1 \text{---} F \text{---} e_2 \text{---} \\ \text{---} e'_1 \text{---} F' \text{---} e'_2 \text{---} \end{array}$$

⇓

$$\text{---} e_1 \text{---} F \text{---} e \text{---} F' \text{---} e'_2 \text{---}$$

What about gates that have a different number of left and right dangling edges?

- A gate with l left dangling edges and $n - l$ right dangling edges can be represented by a $2^l \times 2^{n-l}$ matrix.
- More specifically,
 - ▶ a gate with only n left dangling edges can be represented as a $2^n \times 1$ matrix and it is called **contravariant** or a **generator**,
 - ▶ a gate with only n right dangling edges can be represented as a 1×2^n matrix and it is called **covariant** or a **recognizer**.

Kronecker product of matrices

- Let A be the 3×3 matrix $A = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}$,
- and B be the 2×2 matrix $B = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}$.

Kronecker product of matrices

- Then the **Kronecker product** of A and B is the following 6×6 matrix:

$$A \otimes B = \begin{bmatrix} a_{11}B & a_{12}B & a_{13}B \\ a_{21}B & a_{22}B & a_{23}B \\ a_{31}B & a_{32}B & a_{33}B \end{bmatrix} =$$

$$\begin{bmatrix} a_{11}b_{11} & a_{11}b_{12} & a_{12}b_{11} & a_{12}b_{12} & a_{13}b_{11} & a_{13}b_{12} \\ a_{11}b_{21} & a_{11}b_{22} & a_{12}b_{21} & a_{12}b_{22} & a_{13}b_{21} & a_{13}b_{22} \\ a_{21}b_{11} & a_{21}b_{12} & a_{22}b_{11} & a_{22}b_{12} & a_{23}b_{11} & a_{23}b_{12} \\ a_{21}b_{21} & a_{21}b_{22} & a_{22}b_{21} & a_{22}b_{22} & a_{23}b_{21} & a_{23}b_{22} \\ a_{31}b_{11} & a_{31}b_{12} & a_{32}b_{11} & a_{32}b_{12} & a_{33}b_{11} & a_{33}b_{12} \\ a_{31}b_{21} & a_{31}b_{22} & a_{32}b_{21} & a_{32}b_{22} & a_{33}b_{21} & a_{33}b_{22} \end{bmatrix}$$

Kronecker product of a signature matrix

- Let F be the \mathcal{F} -gate $\begin{array}{c} \text{---} \\ e_1 \end{array} F \begin{array}{c} \text{---} \\ e_2 \end{array}$ with $M = \begin{bmatrix} 0 & 1 \\ 1 & 3 \end{bmatrix}$.

- Then $M^{\otimes 2} = \begin{bmatrix} 0 & 1 \\ 1 & 3 \end{bmatrix} \otimes \begin{bmatrix} 0 & 1 \\ 1 & 3 \end{bmatrix} = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 3 \\ 0 & 1 & 0 & 3 \\ 1 & 3 & 3 & 9 \end{pmatrix} \begin{array}{l} 00 \\ 01 \\ 10 \\ 11 \end{array}$

is the signature matrix of the \mathcal{F} -gate

$$\begin{array}{c} \text{---} \\ e_1 \end{array} F \begin{array}{c} \text{---} \\ e_3 \end{array}$$

$$\begin{array}{c} \text{---} \\ e_2 \end{array} F \begin{array}{c} \text{---} \\ e_4 \end{array}$$

Kronecker product of a signature matrix

For example, the following assignment on the edges:

$$\begin{array}{ccc} \text{---} & F & \text{---} \\ & \mathbf{1} & \mathbf{0} \\ \text{---} & F & \text{---} \\ & \mathbf{1} & \mathbf{1} \end{array}$$

corresponds to the content of the $(11, 01)$ cell of the matrix $M^{\otimes 2}$:

$$M^{\otimes 2} = \begin{bmatrix} 0 & 1 \\ \mathbf{1} & 3 \end{bmatrix} \otimes \begin{bmatrix} 0 & 1 \\ 1 & 3 \end{bmatrix} = \begin{pmatrix} 00 & \mathbf{01} & 10 & 11 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 3 \\ 0 & 1 & 0 & 3 \\ 1 & \mathbf{3} & 3 & 9 \end{pmatrix} \begin{matrix} 00 \\ 01 \\ 10 \\ \mathbf{11} \end{matrix}$$

which is equal to the product $M_{10}M_{11} = \mathbf{1} \cdot \mathbf{3} = \mathbf{3}$.

Operations with signature matrices

- Let the row vector $(0, 1, 1, 0)$ represent the signature f of a gate with two right dangling edges.
- Let $T = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}$ be the matrix representing the signature of a gate with one left dangling edge and one right dangling edge.
- Then the signature of the following gate with two right dangling edges

$$f \quad \begin{array}{c} \text{---} \mathbf{e}_1 \text{---} \\ \text{---} \mathbf{e}_2 \text{---} \end{array} \quad T \quad \begin{array}{c} \text{---} e_3 \text{---} \\ \text{---} e_4 \text{---} \end{array}$$

is given by the matrix $f \cdot T^{\otimes 2} = (0, 1, 1, 2)$.

Holographic transformations

- We consider bipartite graphs. We transform a general graph into a bipartite graph while preserving the Holant value:
 - ▶ For each edge in the graph, we replace it by a path of length two (2-stretch of the graph, edge-vertex incidence graph).
 - ▶ Each new vertex is assigned the binary *Equality* signature ($=_2$).

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 - ▶ For each edge in the graph, we replace it by a path of length two (**2-stretch** of the graph, **edge-vertex incidence** graph).
 - ▶ Each new vertex is assigned the binary *Equality* signature ($=_2$).
- Let T be an invertible 2×2 matrix. The **holographic transformation defined by T** is the following operation:
 - ▶ Given $\Omega = (H, \pi)$ of $\text{Holant}(\mathcal{F} \mid \mathcal{G})$, we get a new signature grid $\Omega' = (H, \pi')$ of $\text{Holant}(\mathcal{F}T \mid T^{-1}\mathcal{G})$ by replacing each signature $f_u \in \mathcal{F}$ (resp. $g_v \in \mathcal{G}$) by $f_u \cdot T^{\otimes \deg(u)}$ (resp. $(T^{-1})^{\otimes \deg(v)} \cdot g_v$).

Valiant's Holant Theorem

Theorem

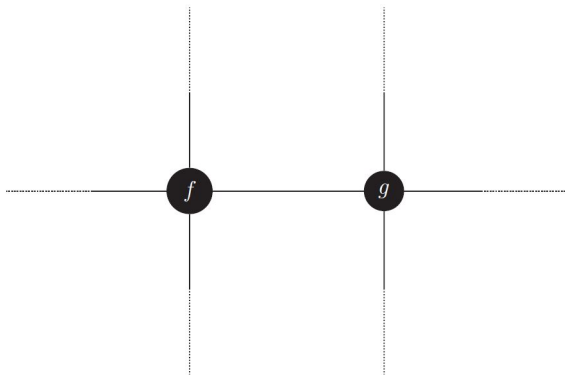
Let \mathcal{F} and \mathcal{G} be sets of complex-valued signatures over a Boolean domain. Suppose Ω is a bipartite signature over $(\mathcal{F} \mid \mathcal{G})$. If T is an invertible 2×2 matrix over \mathbb{C} , then

$$\text{Holant}(\Omega, \mathcal{F} \mid \mathcal{G}) = \text{Holant}(\Omega', \mathcal{F}T \mid T^{-1}\mathcal{G})$$

where Ω' is the corresponding signature grid over $(\mathcal{F}T \mid T^{-1}\mathcal{G})$.

Proof.

- 1 Let $\Omega_0 = \Omega$. Let $G = (U, V, E)$ be the underlying graph. An edge $e = (u, v)$ is shown below.

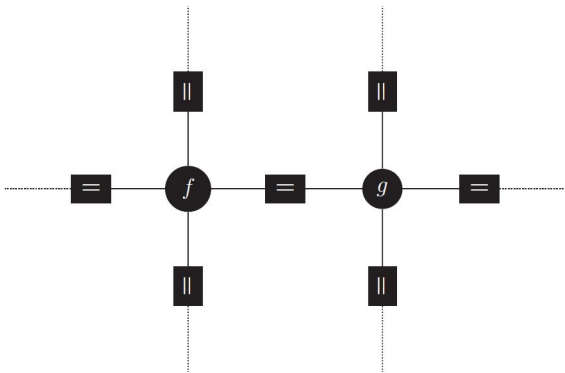


(a) $\Omega = \Omega_0$

Proof cont.

- For each $e \in E$, we subdivide e and assign ($=_2$) to the new vertex w . Let the resulting grid be Ω_1 . Then

$$\text{Holant}(\Omega_0, \mathcal{F} \mid \mathcal{G}) = \text{Holant}(\Omega_1, \mathcal{F} \cup \mathcal{G} \cup \{=_2\})$$

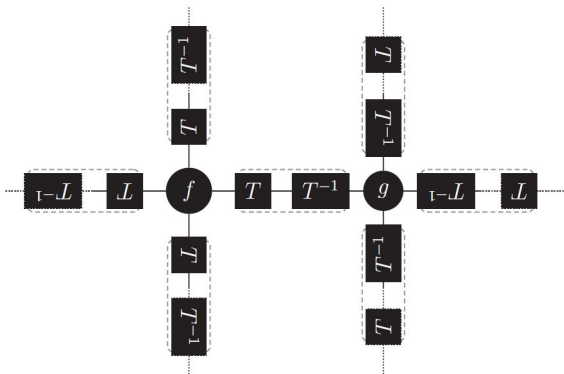


(b) Ω_1

Proof cont.

- ③ We subdivide w to get two vertices u' , v' . Assign to u' and v' the binary signature h_u and h_v , respectively, with signature matrices T and T^{-1} , respectively. Let the resulting grid be Ω_2 . Then

$$\text{Holant}(\Omega_1, \mathcal{F} \cup \mathcal{G} \cup \{=_2\}) = \text{Holant}(\Omega_2, \mathcal{F} \cup \mathcal{G} \cup \{T, T^{-1}\})$$

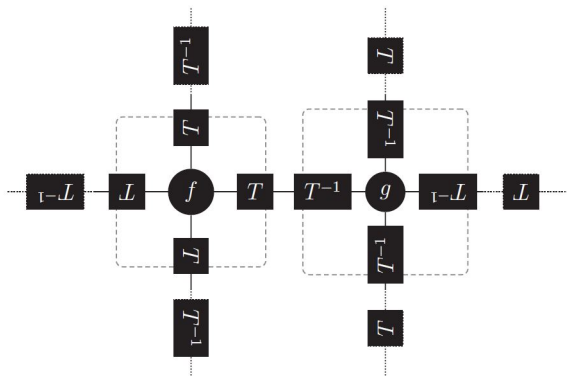


(c) Ω_2

Proof cont.

- ④ Ω_3 is the same as Ω_2 , except we associate the binary T and T^{-1} to the original signatures f and g first. Then

$$\text{Holant}(\Omega_2, \mathcal{F} \cup \mathcal{G} \cup \{T, T^{-1}\}) = \text{Holant}(\Omega_3, \mathcal{F} \cup \mathcal{G} \cup \{T, T^{-1}\})$$



(d) Ω_3

Proof cont.

- ⑤ We contract edges (u, u') and (v', v) . This defines $\Omega' = \Omega_4$.
- ▶ The underlying graph is the initial bipartite graph G .
 - ▶ Any vertex $u \in U$ of degree $\deg(u)$ assigned $f \in \mathcal{F}$ in Ω is now assigned $f \cdot T^{\otimes \deg(u)}$.
 - ▶ Any vertex $v \in V$ of degree $\deg(v)$ assigned $g \in \mathcal{G}$ in Ω is now assigned $(T^{-1})^{\otimes \deg(v)} \cdot g$.

Then,

$$\text{Holant}(\Omega_3, \mathcal{F} \cup \mathcal{G} \cup \{T, T^{-1}\}) = \text{Holant}(\Omega_4, \mathcal{F}T \mid T^{-1}\mathcal{G}).$$

□

Overview

- 1 Introduction to Counting Complexity
 - The class $\#P$
 - Three classes of counting problems
 - Holographic transformations
- 2 Matchgates and Holographic Algorithms
 - Kasteleyn's algorithm
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 - Holographic algorithms
- 3 Dichotomy Theorems for counting problems

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- Counting perfect matchings in general graphs is $\#P$ -complete under Turing reductions.
- Counting perfect matchings in planar graphs can be solved by Kasteleyn's algorithm (FKT algorithm) in polynomial time.
- This algorithm can be extended to a *universal strategy* for a broad class of counting problems.
- These results build on the theory of *matchgates* and *holographic algorithms*.

FKT algorithm

- Kasteleyn's algorithm is a method for
 - ▶ counting perfect matchings
 - ▶ computing the weighted sum of perfect matchingsin “Pfaffian orientable” graphs.

- Planar graphs are Pfaffian orientable.

Oddly oriented cycles

Fact

If M, M' are two perfect matchings in G , then $M \cup M'$ is a collection of single edges and even length cycles.

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An orientation \vec{G} of an undirected graph G is an assignment of a direction to each of its edges.

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An **orientation** \vec{G} of an undirected graph G is an assignment of a direction to each of its edges.

Definition 1

Let $G = (V, E)$ be an undirected graph, and \vec{G} an orientation of G . We say that an **even cycle** C of G is **oddly oriented** by \vec{G} , if when traversing C , in either direction, the number of co-oriented edges is odd.

Pfaffian orientation

Definition 2

An orientation \vec{G} of G is **Pfaffian** if the following condition holds: for any two perfect matchings M, M' in G , every cycle in $M \cup M'$ is oddly oriented by \vec{G} .

