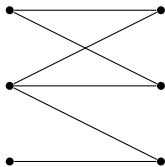
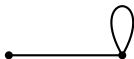


## Theorem (Hell & Nešetřil 1990)

Let  $H$  be a fixed graph. The  $H$ -COLORING problem is in  $P$ , if  $H$  either has a loop (self-loop) or is bipartite.

Otherwise,  $H$ -COLORING is NP-complete.

Easy cases:



# The problem of counting graph homomorphisms

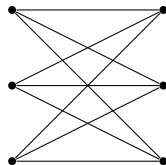
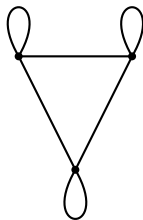
## Theorem (Dyer & Greenhill 2000)

Let  $H$  be a fixed graph. The  $\#H$ -COLORINGS problem is in FP, if every connected component of  $H$  is

- 1 either a complete graph with all loops present
- 2 or a complete bipartite graph with no loops present.

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Easy cases:



Suppose that  $H$  is a complete graph with all loops present, or a complete bipartite graph with no loops. Then,  $\#H\text{-COLORINGS}$  can be solved in polynomial time.

*Proof.*

- 1 If  $H$  is an isolated vertex without a loop, then  $Z_H(G) = 0$ , unless  $G$  is a collection of isolated vertices, in which case  $Z_H(G) = 1$ .

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- 3 Suppose  $H$  is the complete bipartite graph with vertex bipartition  $C_1 \cup C_2$ ,  $|C_i| = k_i$ ,  $i = 1, 2$ , and with no loops.
  - ▶ If  $G$  is not bipartite, then  $Z_H(G) = 0$ .
  - ▶ If  $G$  is bipartite with vertex bipartition  $V_1 \cup V_2$ ,  $|V_i| = n_i$ ,  $i = 1, 2$ , then

$$Z_H(G) = k_1^{n_1} \cdot k_2^{n_2} + k_1^{n_2} \cdot k_2^{n_1}.$$

□

## Generalization to non-negative weights

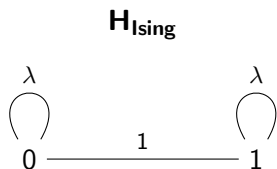
### Theorem (Bulatov & Grohe 2005)

Let  $H$  be a fixed weighted graph and  $A$  the corresponding adjacency matrix with non-negative real entries. On input  $G$ , computing  $Z_A(G)$  is in FP if every connected component of  $H$  is

- 1 either not bipartite and the rank of  $A$  is at most 1
- 2 or bipartite and  $A$  is in block form  $\begin{bmatrix} 0 & B \\ B^\top & 0 \end{bmatrix}$ , where  $B$  is of rank at most 1.

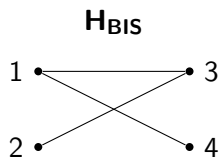
Otherwise, computing  $Z_A(G)$  is #P-hard.

# Examples of #P-hard counting problems



**Adjacency matrix**

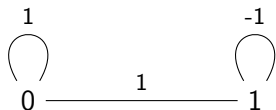
$$A = \begin{bmatrix} \lambda & 1 \\ 1 & \lambda \end{bmatrix}$$



**Adjacency matrix**

$$A = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

## What about real weights?



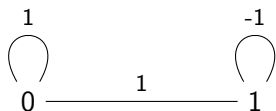
**Adjacency matrix**

$$A = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

- Every assignment  $\sigma$  from  $V(G)$  to  $V(H)$  corresponds to the subgraph induced by the nodes of  $G$  assigned to node 1 of  $H$ .
- Let an assignment  $\sigma$ . Then  $\sigma$  contributes either a  $+1$  to the sum  $Z_A(G)$  if an even number of edges have both their endpoints assigned to node 1 of  $H$ , or a  $-1$ , otherwise.
- $X = \frac{2^n + Z_A(G)}{2}$  is the number of induced subgraphs of  $G$  with even number of edges.



## What about real weights?



**Adjacency matrix**

$$A = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

- $A$  has rank 2, but the problem is in FP.
- Consider the quadratic form over  $\mathbb{F}_2$  defined by

$$Q(X) = \sum_{\{u,v\} \in E(G)} x_u x_v.$$

- Then  $Q(X) = 0$  if an even number of edges have both their endpoints assigned to 1, and  $Q(X) = 1$ , otherwise.
- Computing the number of solutions to  $Q(X) = 0$  can be done in polynomial time.

## Dichotomy theorems for $\#\text{CSP}(\mathcal{F})$ : the Boolean case

- Creignou and Hermann (1996) proved a dichotomy theorem for the case of  $\mathcal{F}$  being a finite set of  $\{0, 1\}$ -valued functions.

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# The dichotomy theorem for Boolean CSPs

## Theorem (Schaefer 1978)

*Let  $\Gamma$  be a finite set of relations on the domain  $\{0, 1\}$ . The problem  $\text{CSP}(\Gamma)$  is in  $P$  if every relation in  $\Gamma$  satisfies one of the conditions below.*

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 $\phi = (x_1 \oplus x_3) \wedge (\neg x_1 \oplus x_2) \wedge (x_1 \oplus x_2 \oplus \neg x_3)$  corresponds to

$$x_1 \quad \quad \quad + x_3 \quad \quad = 1$$

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- **3-SAT**: Every constraint corresponds to a clause with exactly three variables, where at least one literal is true. For example, constraint  $C = \{0, 1\}^3 \setminus (1, 0, 0)$  corresponds to  $\neg x_1 \vee x_2 \vee x_3$ .
- **3-NAE-SAT**: Every constraint corresponds to a clause with exactly three variables, where at least one literal is true and not all literals are the same. For example, constraint  $C = \{0, 1\}^3 \setminus \{(1, 0, 0), (0, 1, 1)\}$  corresponds to  $\neg x_1 \vee x_2 \vee x_3$ .
- **3-EXACTLY-ONE**: Every constraint corresponds to a clause with exactly three variables, where exactly one literal is true. For example, constraint  $C = \{(0, 0, 0), (1, 1, 0), (1, 0, 1)\}$  corresponds to  $\neg x_1 \vee x_2 \vee x_3$ .

# Counting CSPs: $\{0, 1\}$ -valued functions over the Boolean domain

## Theorem (Creignou & Hermann 1996)

Let  $\Gamma$  be a finite set of relations on the domain  $\{0, 1\}$ . The problem  $\#CSP(\Gamma)$  is in FP if  $\Gamma$  is *affine*. Otherwise,  $\#CSP(\Gamma)$  is  $\#P$ -complete.

- Equivalently, this is a dichotomy result for  $\#CSP(\mathcal{F})$ , where  $\mathcal{F}$  consists of  $\{0, 1\}$ -valued functions on Boolean variables.
- Instead of a relation, we consider its characteristic function.
- A function  $f$  is affine if its support is an affine relation, where

$$\text{supp}(f) = \{(x_1, \dots, x_k) \mid f(x_1, \dots, x_k) \neq 0\}.$$

## Easy cases

- Every relation in  $\Gamma$  must be affine.
- A relation  $R$  is affine if the set of tuples  $x \in R$  is the set of solutions to a system of linear equations over  $\mathbb{F}_2$ .
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- A relation is affine iff  $a, b, c \in R$  implies  $d = a \oplus b \oplus c \in R$ .
- There is an algorithm for determining whether a finite set of relations is affine, so for determining whether  $\#\text{CSP}(\Gamma)$  is in FP or  $\#\text{P}$ -complete.

## An example of a #P-complete problem

- The relation  $IMP = \{(0, 0), (0, 1), (1, 1)\}$  is bijunctive, 0-valid, 1-valid, Horn, dual Horn, but not affine. So,  $\#CSP(\{IMP\})$  is #P-complete.

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- Given an acyclic directed graph  $G$ , which defines a partial order,  $\text{Hom}(G, H)$  is equal to the number of downsets of the partial order (i.e. downward closed sets w.r.t. the partial order) (Exercise).

# Generalization to non-negative real weights

## Definition 1

A function has **product type** if it can be expressed as a product of unary functions, binary *Equality* functions ( $=_2$ ), and binary *Inequality* functions ( $\neq_2$ ), on not necessarily disjoint subsets of variables.

We denote by  $\mathcal{P}$  the set of all functions of product type.

## Definition 2

A function is **pure affine** if its support is an affine relation and its range is a subset of  $\{0, b\}$  for some  $b \in \mathbb{R}_{\geq 0}$ .

We denote by  $\mathcal{A}$  the set of all pure affine functions.

# Counting CSPs: non-negative real-valued functions over the Boolean domain

## Theorem (Dyer, Goldberg & Jerrum 2009)

For every finite  $\mathcal{F}$  consisting of non-negative-valued functions,  $\#CSP(\mathcal{F})$  is in FP if one of the following conditions holds.

- 1 Every function in  $\mathcal{F}$  is of *product type*.
- 2 Every function in  $\mathcal{F}$  is a *pure affine* function.

Otherwise,  $\#CSP(\mathcal{F})$  is  $\#P$ -hard.

## Dichotomy theorems for $\#\text{CSP}(\mathcal{F})$ : the case of arbitrary finite domain

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- Cai, Guo, Williams (2014): EDGE  $k$ -COLORINGS is #P-hard over planar  $r$ -regular graphs for  $k \geq r \geq 3$  ( $r \leq 5$ ). It is polynomial-time computable for all other values of  $k$  and  $r$ .

# Overview

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  - The class  $\#P$
  - Three classes of counting problems
  - Holographic transformations
- 2 Matchgates and Holographic Algorithms
  - Kasteleyn's algorithm
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# Exact counting

Exact counting is rare:

- #2-COLORINGS.
- #PERFECT MATCHINGS in planar graphs.
- #SPANNING TREES in general graphs.

# Approximate counting

## Definition

A **fully polynomial randomized approximation scheme (fpras)** for a counting problem  $f : \Sigma^* \rightarrow \mathbb{N}$  is a randomized algorithm that takes as input an instance  $x \in \Sigma^*$ , an error tolerance  $0 < \varepsilon < 1$ , and  $0 < \delta < 1$ , and outputs a number  $\widehat{f(x)} \in \mathbb{N}$  such that

$$\Pr[(1 - \varepsilon)f(x) \leq \widehat{f(x)} \leq (1 + \varepsilon)f(x)] \geq 1 - \delta.$$

The algorithm must run in time polynomial in  $|x|$ ,  $1/\varepsilon$  and  $\log(1/\delta)$ .

Alternatively we could define the fpras so that on input  $(x, \varepsilon)$  outputs  $\widehat{f}(x)$  satisfying

$$\Pr[(1 - \varepsilon)f(x) \leq \widehat{f}(x) \leq (1 + \varepsilon)f(x)] \geq \frac{3}{4} \quad \text{or}$$

$$\Pr[e^{-\varepsilon} f(x) \leq \widehat{f}(x) \leq e^{\varepsilon} f(x)] \geq \frac{3}{4}$$

The probability  $\frac{3}{4}$  can be boosted to  $1 - \delta$  for any desired  $\delta > 0$  using  $\mathcal{O}(\log \delta^{-1})$  repeated trials.



# Boost the success probability to $1 - \delta$

## Chernoff bound

Let  $X_1, \dots, X_m$  be independent, identically distributed  $\{0, 1\}$  random variables, where  $p = \mathbb{E}[X_i]$ . For all  $\varepsilon \leq 3/2$ ,

$$\Pr\left[\left|\sum_{i=1}^n X_i - pn\right| > \varepsilon pn\right] \leq 2 \exp(-\varepsilon^2 pn/3).$$

- Let an fpras for  $f(x)$  such that

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- Then run this algorithm for  $k = 36 \log(2/\delta)$  times, obtaining outputs  $y_1, \dots, y_k$ . Output the median of these outputs, let's say  $y_m$ .

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- Let  $X_i = \begin{cases} 1, & \text{if } y_i \in (1 \pm \varepsilon)f(x) \\ 0, & \text{otherwise} \end{cases}$ .

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- $\mathbb{E}[X_i] = \frac{3}{4}$  and  $\mathbb{E}[\sum X_i] = \frac{3}{4}k$ .

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## Chernoff

Let  $X_1, \dots, X_m$  be independent, identically distributed  $\{0, 1\}$  random variables, where  $p = \mathbb{E}[X_i]$ . For all  $\varepsilon \leq 3/2$ ,

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$$\begin{aligned}\Pr[y_m \notin (1 \pm \varepsilon)f(x)] &\leq \Pr\left[\sum_{i=1}^k X_i < \frac{k}{2}\right] \\ &\leq \Pr\left[\left|\sum X_i - \mathbb{E}\left[\sum X_i\right]\right| > \frac{k}{4}\right] \\ &\leq \Pr\left[\left|\sum X_i - \frac{3}{4}k\right| > \frac{1}{3} \cdot \frac{3}{4} \cdot k\right] \\ &\leq 2 \exp\left(-\frac{\left(\frac{1}{3}\right)^2 \frac{3}{4}k}{3}\right) = 2 \exp(-k/36) = \delta.\end{aligned}$$

# Uniform sampling

- A **sampling problem** is specified by a relation  $R \subseteq \Sigma^* \times \Sigma^*$  between problem instances and solutions, i.e.  $(x, w) \in R$  iff  $w$  is a solution for the problem instance  $x$ .
- We denote the solution set  $\{w \mid (x, w) \in R\}$  by  $R(x)$ .
- A **uniform sampler** for a solution set  $R \subseteq \Sigma^* \times \Sigma^*$  is a randomized algorithm that takes as input an instance  $x \in \Sigma^*$  and outputs a solution  $W \in R(x)$  uniformly at random.

## Total variation distance

To define *approximate sampling* we first need to define the following notion of distance between two probability distributions.

### Definition

For two probability distributions  $\mu$  and  $\nu$  on a countable set  $\Omega$ , define the **total variation distance** between  $\mu$  and  $\nu$  to be

$$\|\mu - \nu\|_{TV} = \frac{1}{2} \sum_{\omega \in \Omega} |\mu(\omega) - \nu(\omega)|.$$

### Claim

For two probability distributions  $\mu$  and  $\nu$ ,

$$\|\mu - \nu\|_{TV} = \max_{A \subseteq \Omega} |\mu(A) - \nu(A)|.$$

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*Proof.*

Let  $A = \{x \mid \mu(x) \geq \nu(x)\}$ .

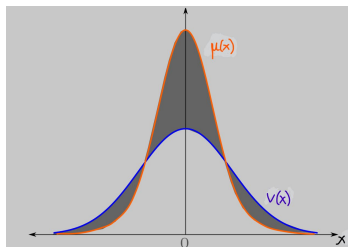
Since  $\mu, \nu$  are probability distributions

$$\sum_{x \in A} \mu(x) - \nu(x) = \sum_{x \notin A} \nu(x) - \mu(x) =$$

$$\frac{1}{2} \|\mu - \nu\|_1 = \|\mu - \nu\|_{TV}.$$

For any set  $B \neq A$ ,

$$\sum_{x \in B} \mu(x) - \nu(x) \leq \sum_{x \in A} \mu(x) - \nu(x).$$



□